

# High efficiency diffractive optics for ToF and LiDAR applications

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EPIC Meeting on Diffractive Optics at Laser World of Photonics

Messe Munich, Munich, DE

28 April 2022, 2:00-4:00 PM

## Niklas Hansson

- Head of Application Engineering
- Gothenburg, Sweden
- Joined NIL Technology 2012
- General focus across DOE, MOE and MLA to find best optical solution for a given product



# Agenda

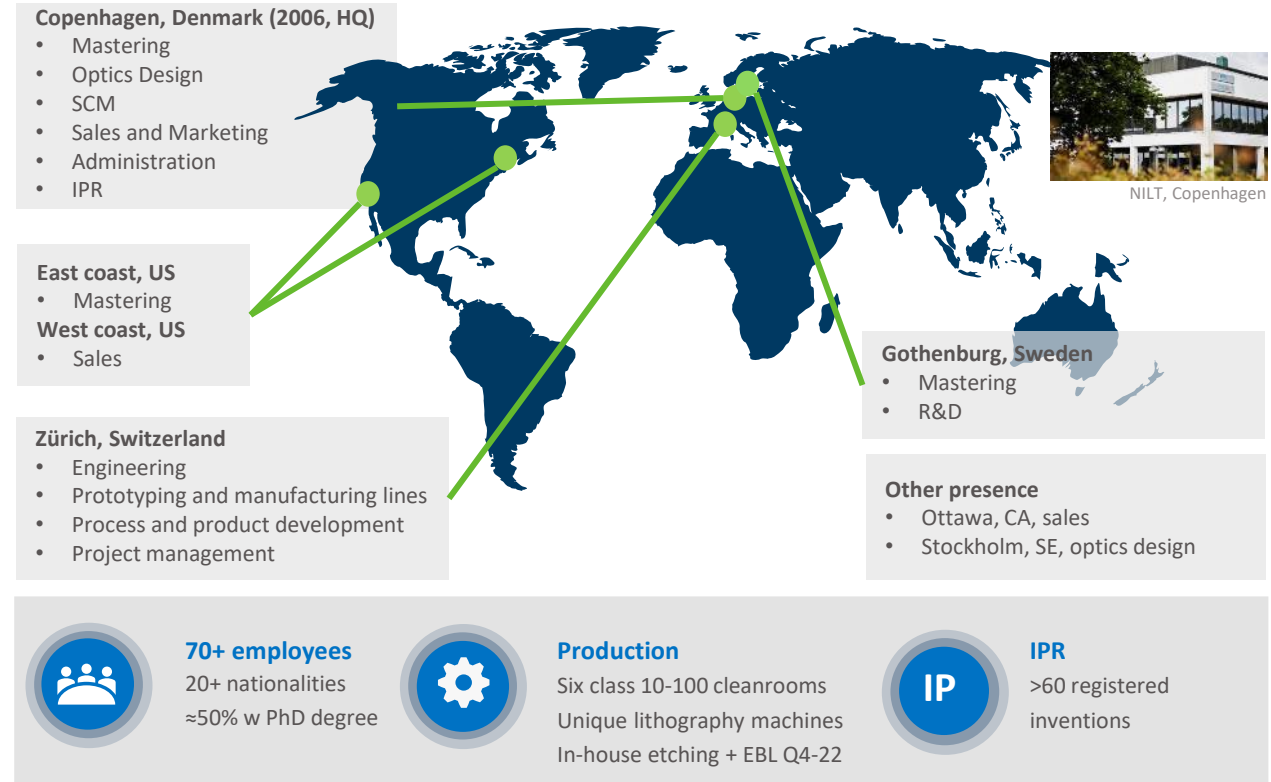
1. NIL Technology
2. DOE applications – ToF/LiDAR
3. Conventional approach to ToF/LiDAR
4. NILT's approach to improving ToF/LiDAR by flat optics
5. Transmitter optics (Tx)
6. Receiver optics (Rx)
7. What is the difference between DOE and MOE
8. Volume Manufacturing
9. Summary

## 1. Manufacturing optical elements, components and modules

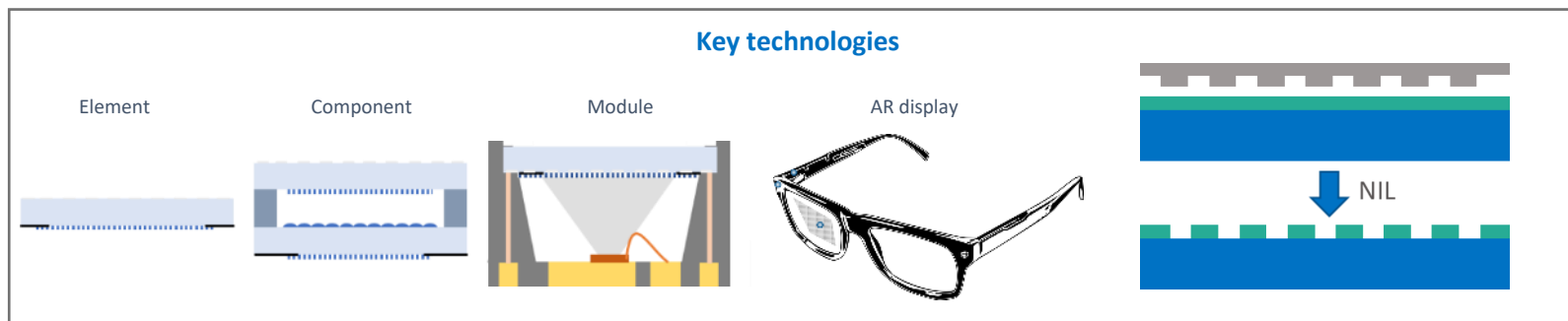
- Optical elements, **Rx and Tx**: diffusers, fanouts, collimators, focusing/imaging lenses; and building integrated functionalities
- Optical components and modules
- **Key technologies: DOE, MOE, gratings, MLA...**

## 2. Mastering technologies for diffractive waveguides

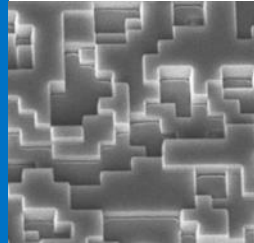
- **Masters (and working stamps)** for VR/AR displays to make diffractive planar waveguides



NILT, Copenhagen



## Diffractive Optical Elements (DOEs)



- Smartphone, automotive, industrial, medical, etc.
- Stacked and multi-element components
- Combined flood/patterned light module, incl collimation, for NIR/SWIR applications

### Diffuser

High efficiency, extremely low zero order  
FOI >70° available, FOI >100° under development

### Fan-out

Dot uniformity >90%. Efficiency: above 95% achieved  
FOI >70° available, FOI >100° under development

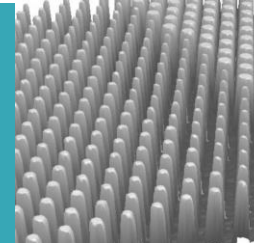
### Collimator

Collimation efficiency >80%. Diffraction limited spot size

### Focusing Lens:

Focusing efficiency >80% Diffraction limited spot size

## Meta Optical Elements (MOEs)



- Smartphone, automotive, industrial, medical, etc.
- Completely flat, thin imaging lenses: low F#, high RI, high FOV and concentricity in NIR & SWIR
- Polarization control

### One Metasurface lens (1M) camera module

Efficiency >80%, FOV >100°, F# <1.2  
Proto results available  
Efficient in NIR & SWIR, up to 94% MOE lens demonstrated

### Two Metasurface lens (2M)

Design and proto results available

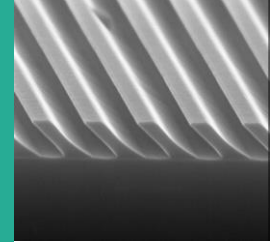
### Hybrid Lenses (1M3P, 2M2P,...)

Reduce Z-height of lens and system

### Emitter optics

Diffusers and fan-out, high FOI, polarization control

## Future Displays (AR/MR)



- Input-, expander-, and output gratings for waveguides in AR/MR and auto HUDs
- All grating types can be combined, in any relative placement and orientation

### Slanted Gratings

Flat trench bottom. Tunable tapering  
Roughness RMS <2 nm

### Large Area Gratings

>12 cm<sup>2</sup>, better than 0.1 nm pitch accuracy  
11 nm global positioning accuracy  
Non-periodic gratings are possible  
Pixelated gratings are possible

### Blazed/Binary Gratings

Down to 200 nm periods  
Small anti-blaze  
Roughness RMS <2 nm

## Mobile

- 3D sensing (face recognition), front side
- 3D sensing (room scanning), world facing side
- Distance sensors, camera auto-focus
- Proximity sensors

## Automotive

- Lidar, long range
- Lidar, short range
- Driver monitoring

## Receiver based on refractive optics

- Low relative illumination
- Sensitive to temperature
- Many lenses required
- Bulky (large TTL)

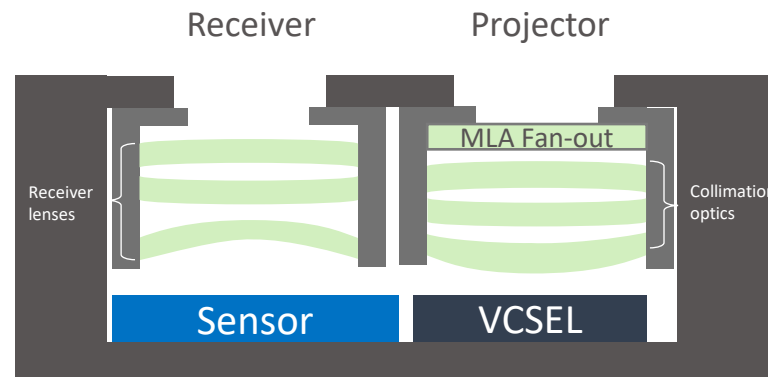


Fig 1. Sketch of Lidar.

## Dot projection (MLA)

- Low dot uniformity

## Flood illumination (MLA)

- Diffuse edge of FOV

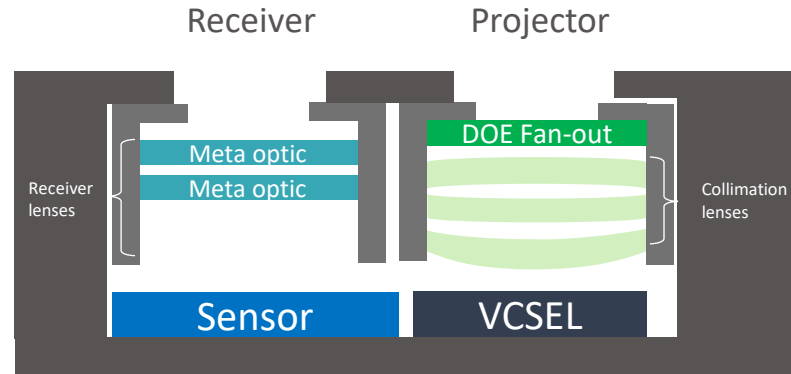


# NILT solution | Benefit from the power of diffractive optics today

## Flat lenses (MOE)

- High relative illumination
- Low sensitivity to temperature
- Reduced number of elements
- Lower TTL

1 MOE solution for NIR imaging available today



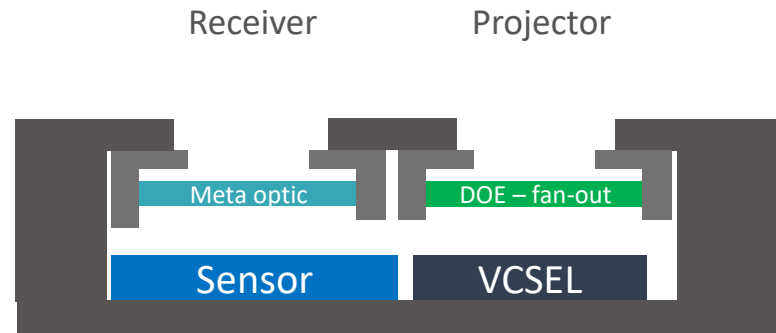
## Dot projection (DOE)

- Increased uniformity of dots
- High efficiency

Ready solution – replace MLA with DOE fan-out and keep collimation solution for upgrading performance

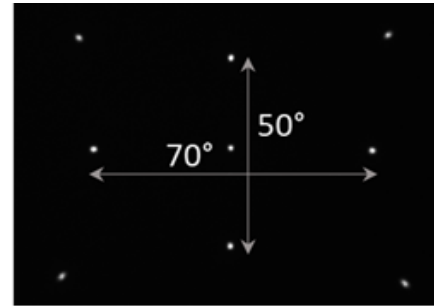


Single element solutions with high efficiency for both Rx and Tx

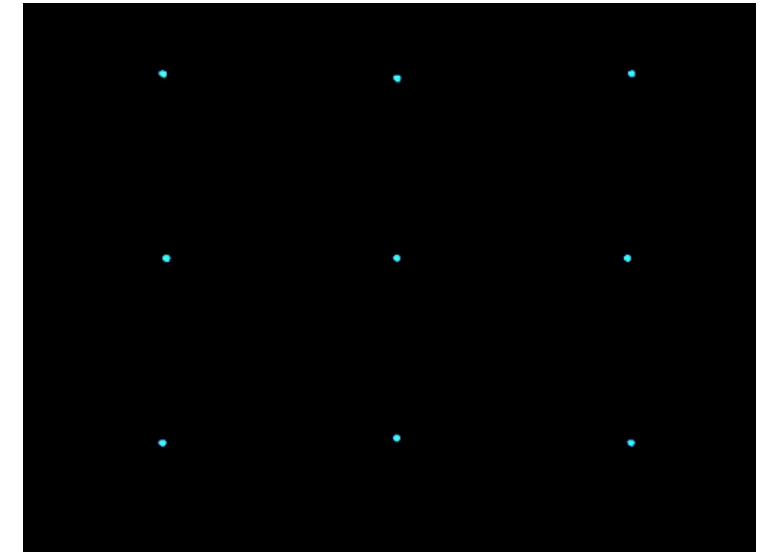
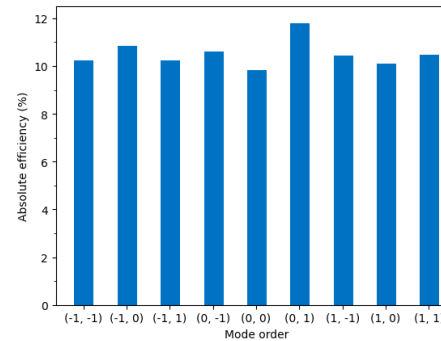


# ToF/LiDAR | Tx – dot projection

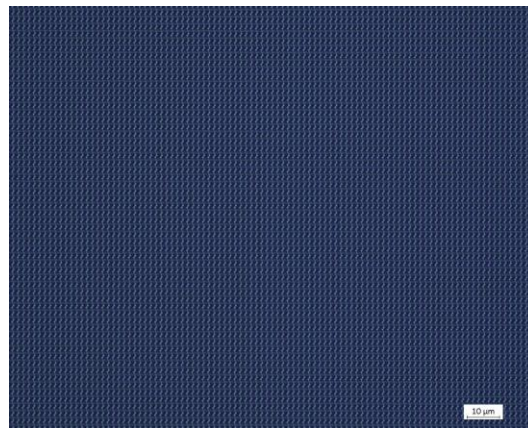
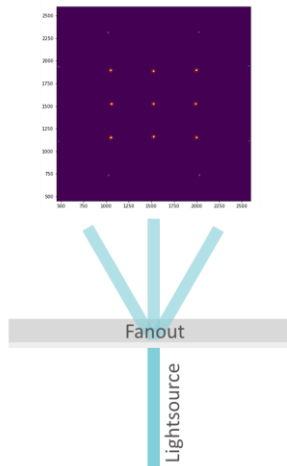
- Polymer on glass
- Optimized for 940 nm VCSEL light source
- Absolute control of the zero-order transmission
- **High absolute efficiency, above 94%**
- **<10% non uniformity**



Projected on flat screen (measured)



Angular space

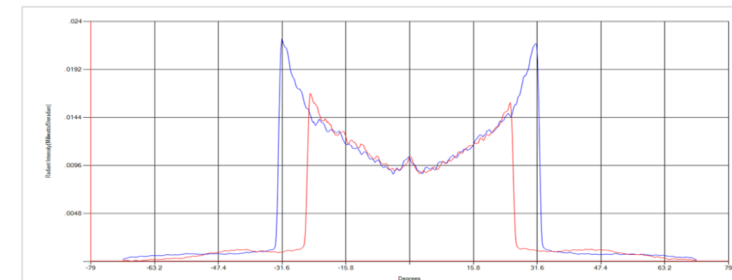
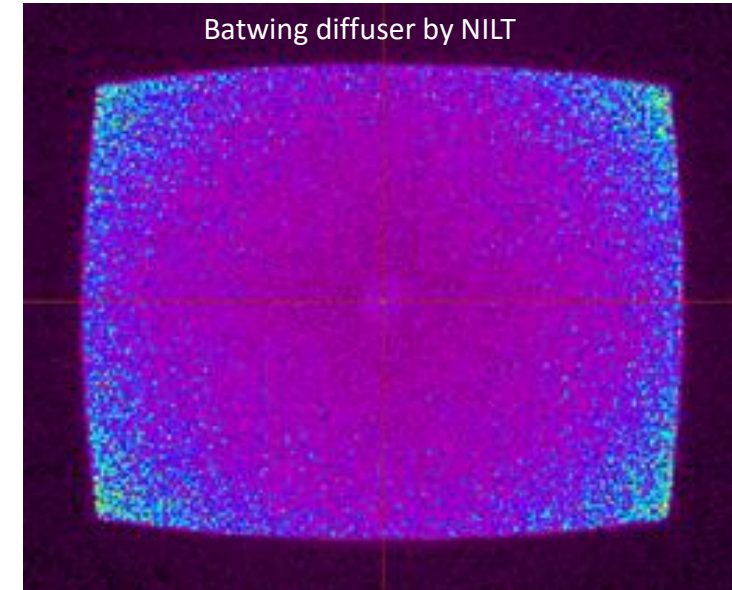


Parameter	Units	Specifications
Dot spacing	[°]	35x25
Number of dots		3x3
Target wavelength	[nm]	940
Absolute FOV efficiency @940nm	[%]	94.6
Non-uniformity error	[%]	9.1
Material		Polymer on glass with ARC coated backside
Active area	[mm <sup>2</sup> ]	2.5 x 2.5
Optimization condition		Optimized for unpolarized light at normal incidence

# Flood illumination | DOE

NILT designs high performance diffusers:

- Tailored light profile to match receiver
- Wide FOI (>90°)
- Steep edges (90% → 10% in <math><1^\circ</math>)
- Low zero order (<math><0.1\%</math>)



Intensity profile measured with collimated input beam

# Flood illumination with DOE vs MLA | Example: Diffuser for iToF

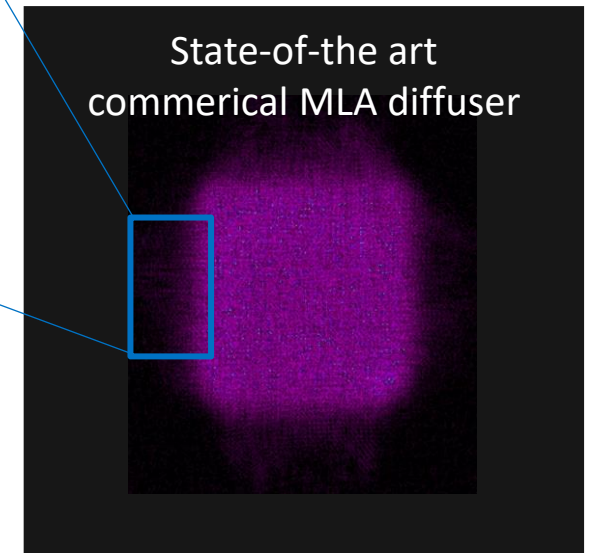
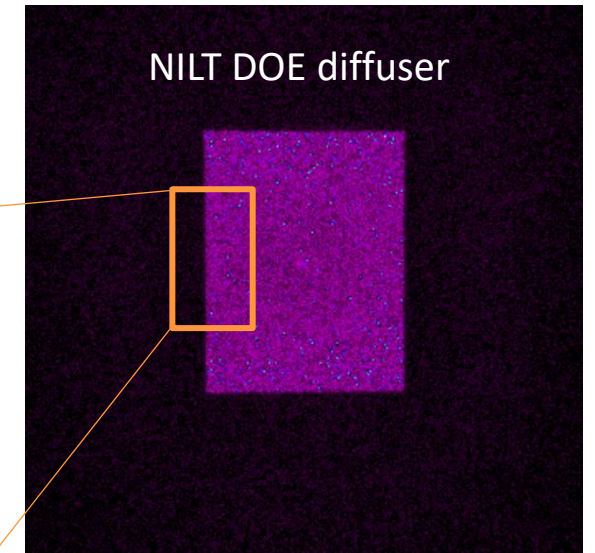
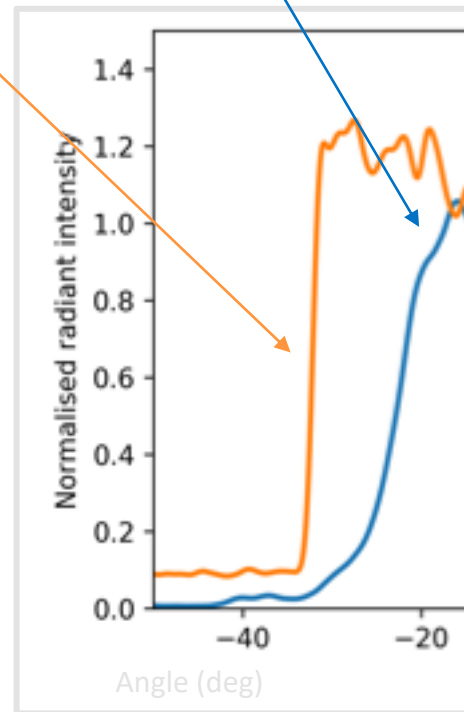
High control of illumination shape and corners compensate for diffraction efficiency losses. A higher FOI efficiency can be achieved compared to using MLAs

	DOE	MLA
Shape control	Good	Poor
Corners	Sharp	Washed-out
FOI	No loss outside FOI	High loss outside FOI
Efficiency in FOI	High	Low

DOE = Diffractive Optical Element  
 RI = Relative illumination  
 FOI = Field of Illumination  
 MLA = Micro Lens Array

Steep slope for DOE

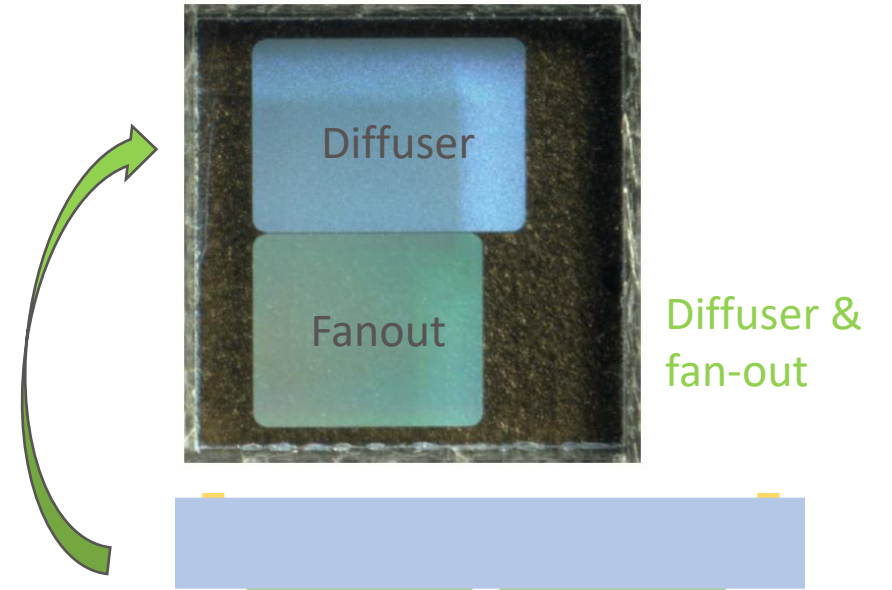
Gentle slope for MLA



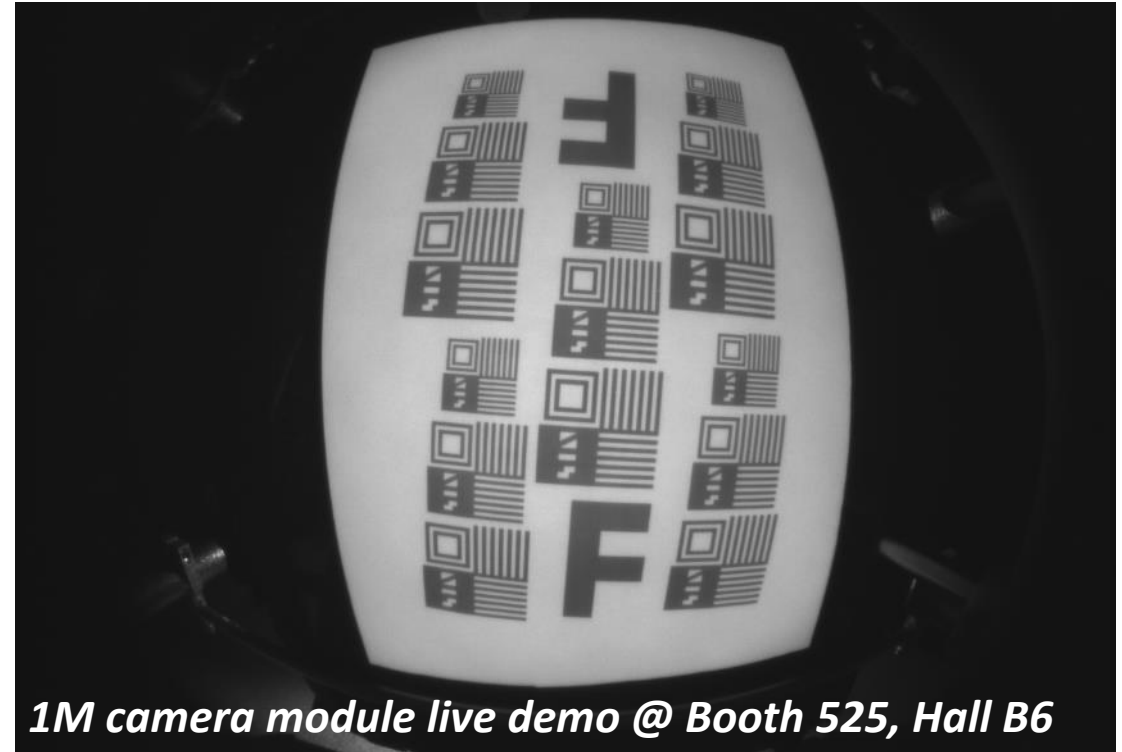
# Dual function elements | Diffuser & Fan-out

NILT's DOE technology platform enables manufacturing of different optical elements on the same optical die

- Example shown here integrates diffuser and fan-out on the same optical die
  - Multiple functionalities in one optical element
  - One element technology allows for more compact systems
  - One process step to make multiple functionalities
  - Simplified assembly
  
- Many other combinations possible



# Receiver | Single surface meta camera (1M @ 940 nm)



Parameter	Specifications
Wavelength	940 nm
EFL	1.24 mm
TTL	3.1
FOV, diagonal	80°
F/#	1.6
CRA	<1.5°
Distortion	23%
Aperture Diameter	0.78 mm
Lens Diameter	∅ 2.50 mm
BFL	1.213 mm

**Lens MTF (Average Sag/Tan)**  
**Nyquist = 227 lp/mm**

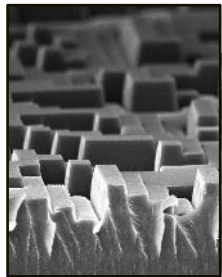
- 0.0 F = 0.75
- 0.5 F = 0.74
- 0.8 F = 0.71

## 1M = High performance with only 1 optical surface

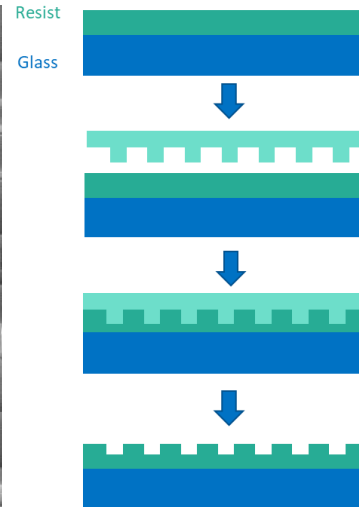
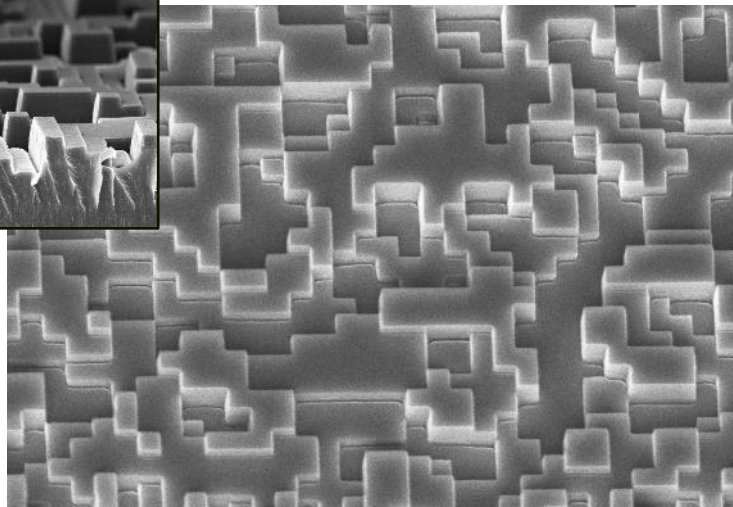
- 90% efficiency
- Telecentric, high RI design
- Good performance match between realized prototype and design
- Designed, prototyped and characterized inhouse
- Samples available



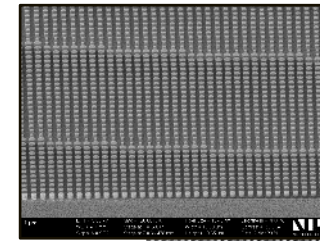
# DOE and MOE



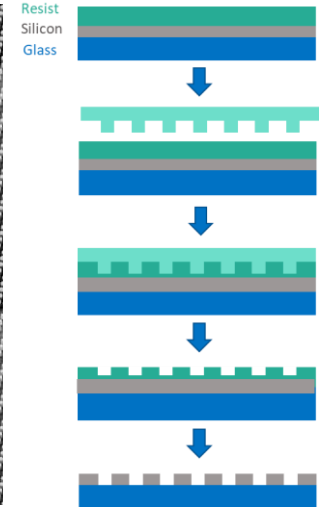
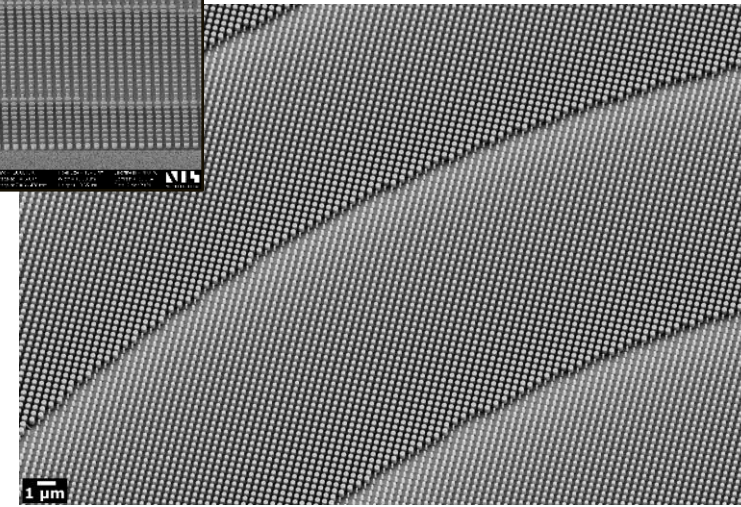
DOE



- Light is considered as waves and controlled by phase shifts
- Characteristic length scale  $\approx \lambda$
- Polymer on glass
- DIFFRACTIVE



MOE



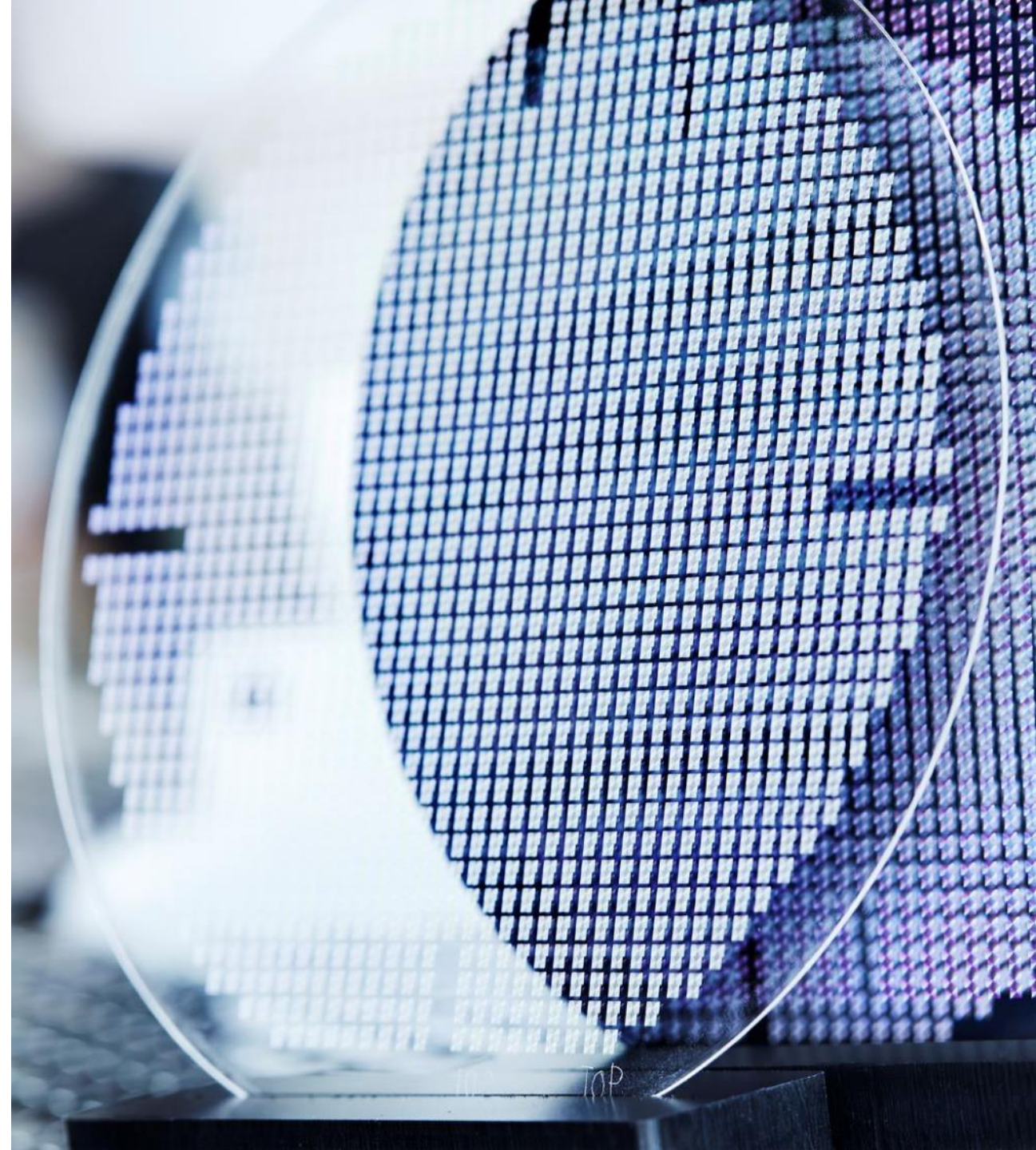
- Light controlled by meta-atom resonance frequencies
- Characteristic length scale  $\ll \lambda$
- High refractive index material on glass (e.g., Si on glass for NIR, TiO<sub>2</sub> for vis)
- DIFFRACTIVE



# Ready for Mass Production

Design, prototyping and testing of optical elements entirely within NILT → fast development cycles

- Mass Production by NIL
  - Replication and nanoimprint lithography
  - Proven process
  - In-house assembly of optical components by wafer level stacking is possible
- Wafer level production process enables cost effective mass production
- 100% Functional Optical testing on wafer
- NILT Standard Reliability Stress Conditions
  - Reflow
  - Temperature Cycling
  - High humidity high temperature cycling



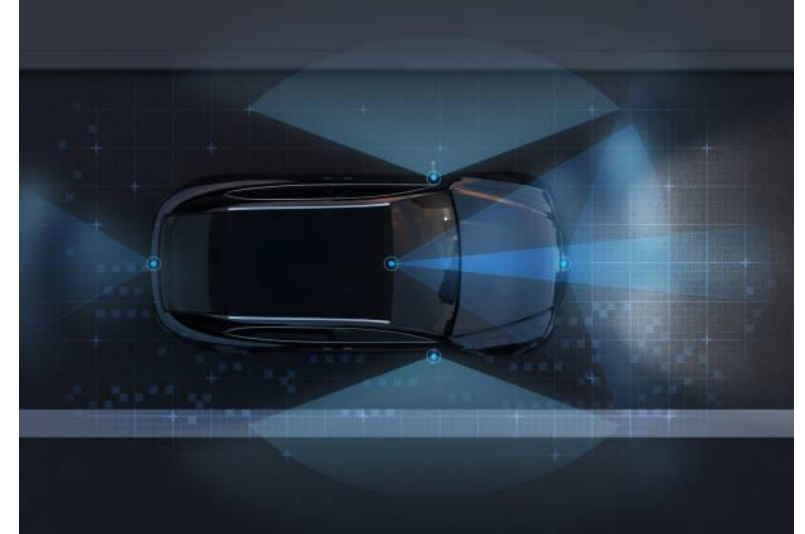
# Summary | ToF/LiDAR application benefits

## Rx - Receiver

- Fewer elements
- More compact system (reduced TTL)
- Increased light collection
- Higher relative illumination

## Tx - Projection

- More light through the system by pushing efficiency
- Higher uniformity across FOV



**This enables higher accuracy, lower power consumption and longer range**



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