

Heterogeneous Integration Overview, including Upcoming Tech

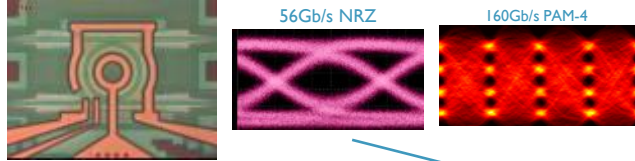
Dries Van Thourhout, Gunther Roelkens

Photonics Research Group – Ghent University / imec

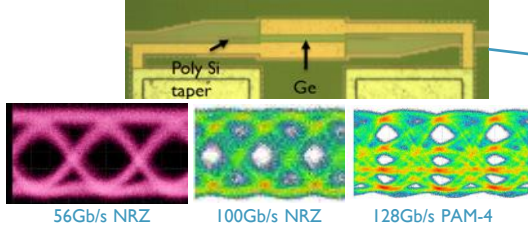
imec Silicon Photonics Platform (iSiPP)

Versatile 56Gb/s+ Silicon Photonics Technology

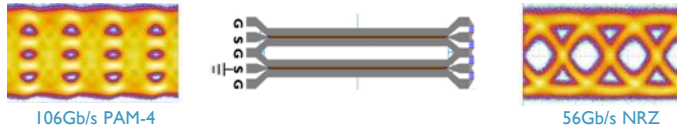
56+Gb/s Silicon Ring Modulator



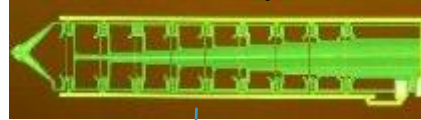
56-128Gb/s GeSi Electro-Absorption Modulator



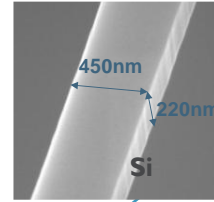
56Gb/s Silicon Mach-Zehnder Modulator



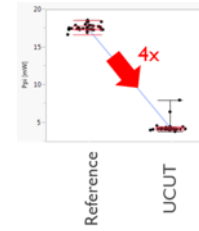
Silicon WDM filters



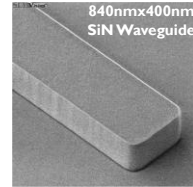
Low-loss high-density passive waveguide circuits



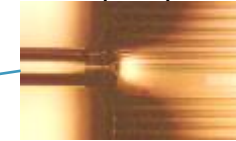
Low Thermo-Optic Power Consumption



Integrated SiN waveguides (0.2-2.5dB/cm)



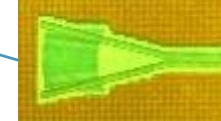
Edge Coupler 3um MFD (<2dB)



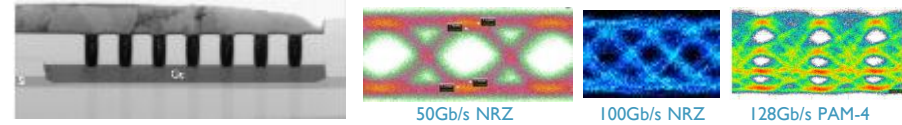
SiN Edge Coupler 9um MFD (<3dB)



SMF Grating Coupler (2dB/5dB)



56-128Gb/s Ge Photodetector



Fully Integrated Silicon Photonics Platform for 1310nm/1550nm Wavelengths

imec PECVD / LPCVD SiN platform

A large library of experimentally verified components is available

Waveguides

Ring Resonators

Fiber to WC

Low reflection

Focusing

What about the light source ? amplifiers ?

Low loss

High Q

Basic spectrometers

Multi-mode interferometer

Pseudo-random

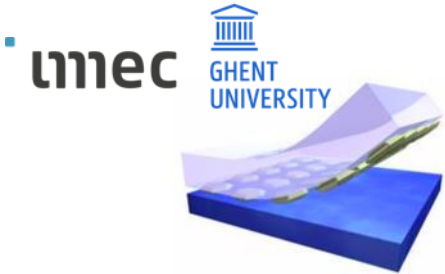
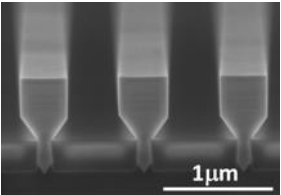
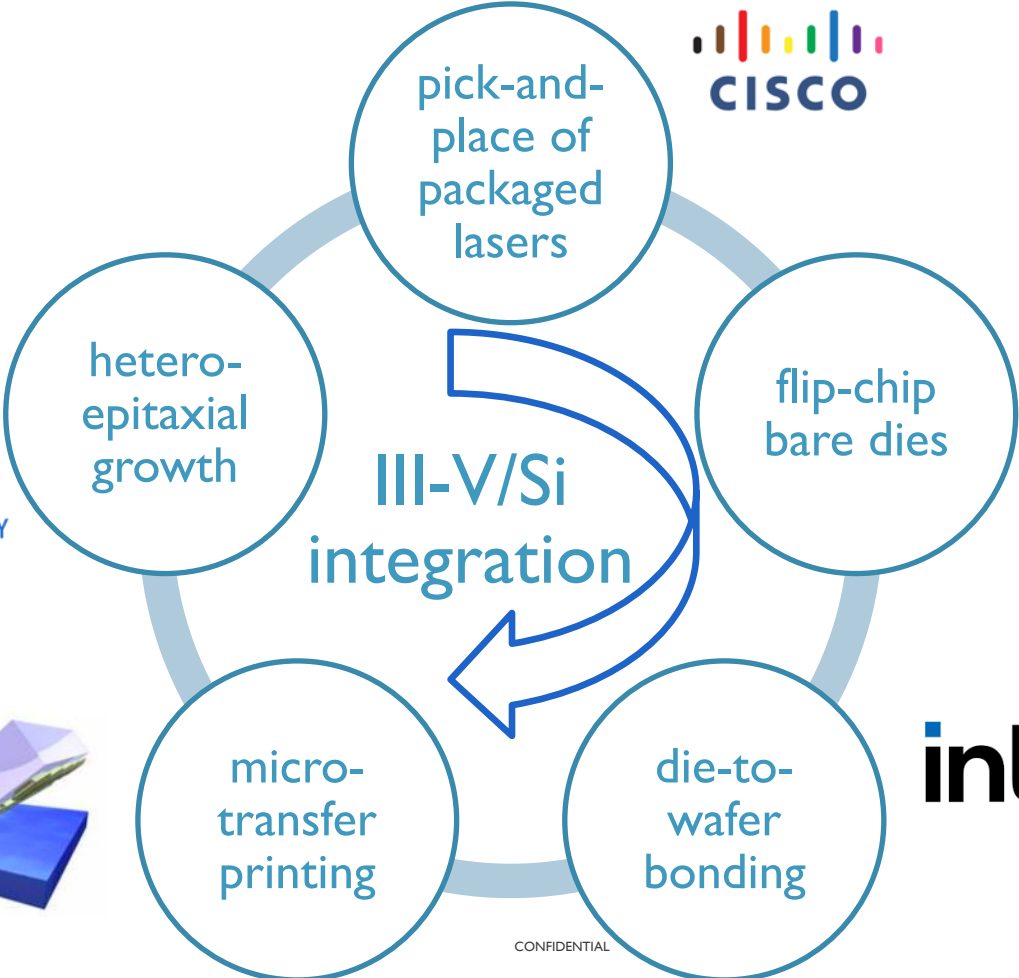
No linear phase modulators ?

Evanescent coupler

Passive SiN platform

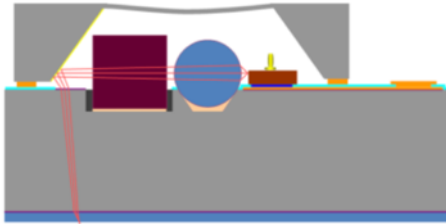
- No active devices => need for a III-V/silicon photonic integration platform (VIS-SWIR)

Options for III-V integration: from hybrid to monolithic

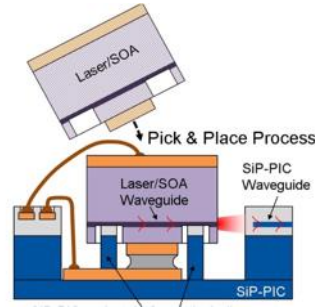


Established III-V-on-silicon technologies

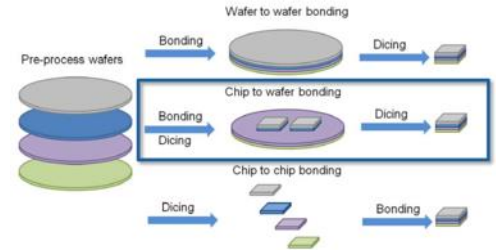
LaMP



Flip-chip integration



Die-to-wafer bonding



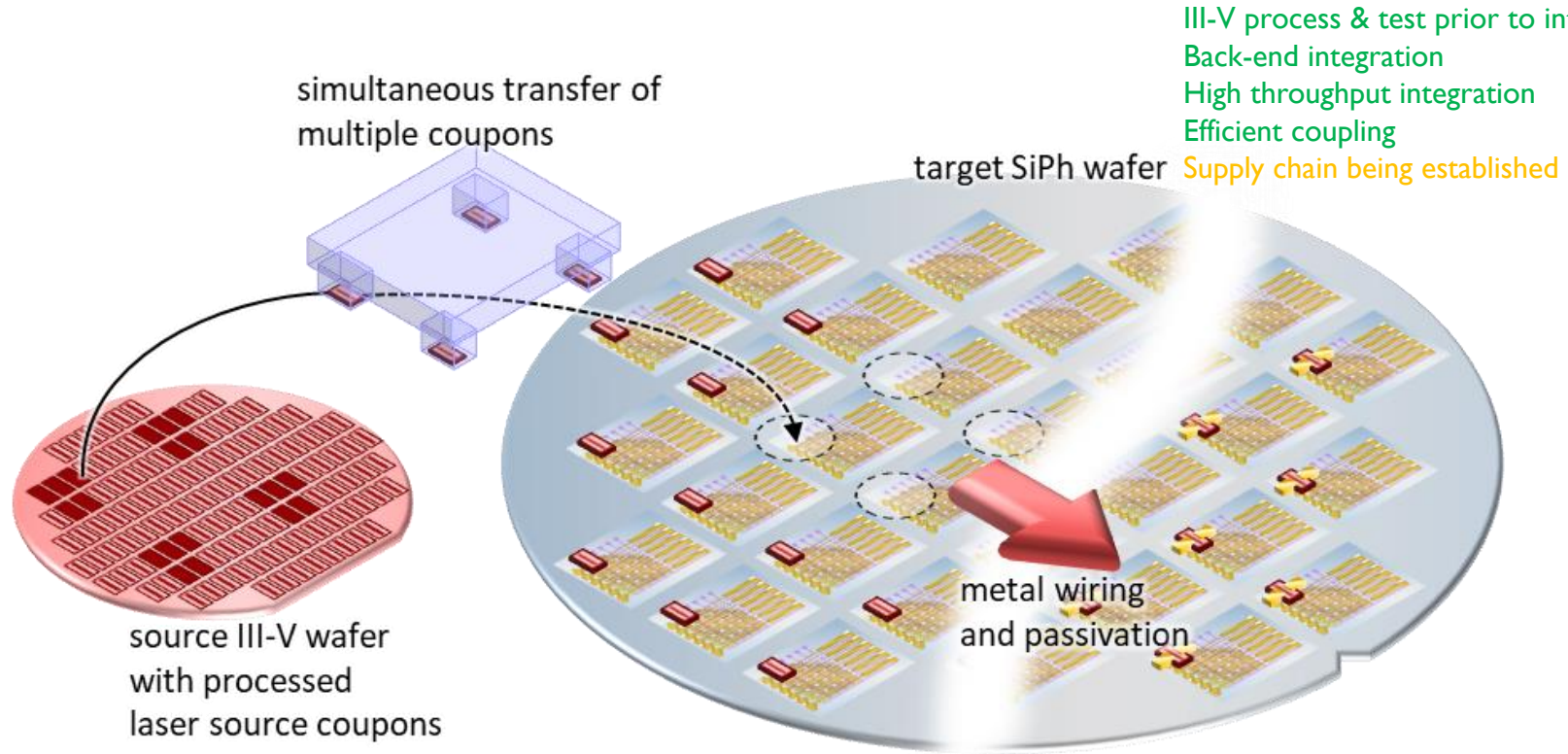
- Use mature III-V technology
- Fairly efficient optical coupling
- No waveguide-in / waveguide-out devices
- Known good die
- Sequential population of SiPh wafer
- Can be integrated on back-end stack

- Use mature III-V technology
- Fairly efficient optical coupling
- Waveguide in-out devices difficult
- Known good die
- Sequential population of SiPh wafer
- Requires local back-end removal

- III-V processing on target wafer
- Efficient optical coupling
- Waveguide in-out devices
- No known good III-V components
- Parallel processing of devices
- Front-end / back-end NRE

Micro-transfer printing

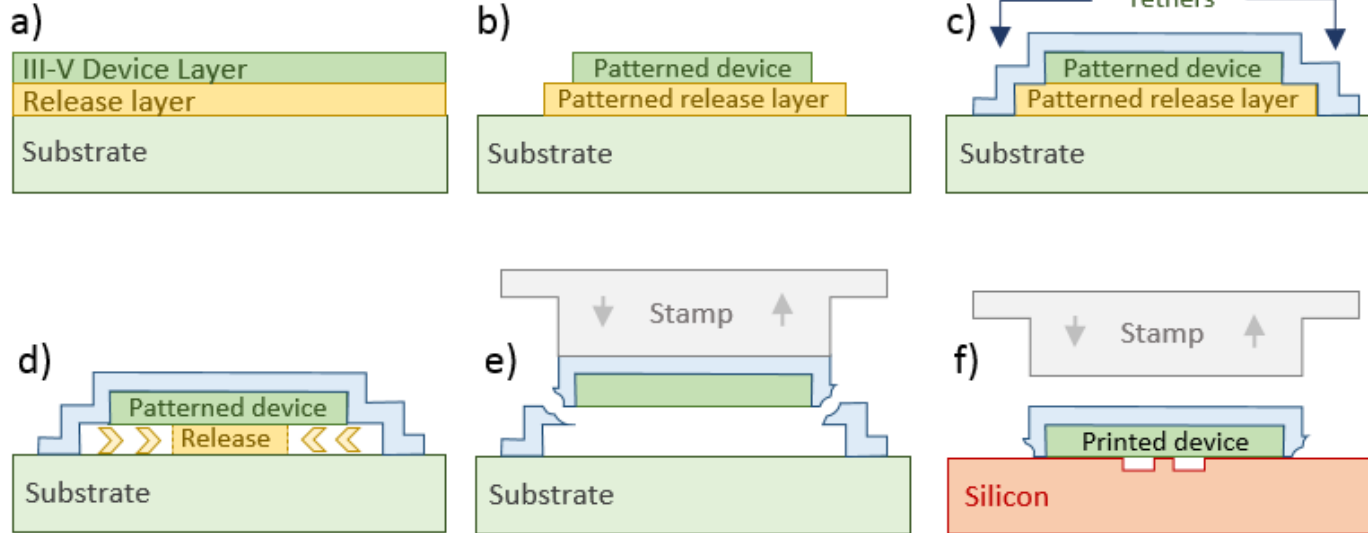
III-V integration on SiPhotonics through micro-transfer printing



Combines advantages of flip-chip & die-to-wafer bonding

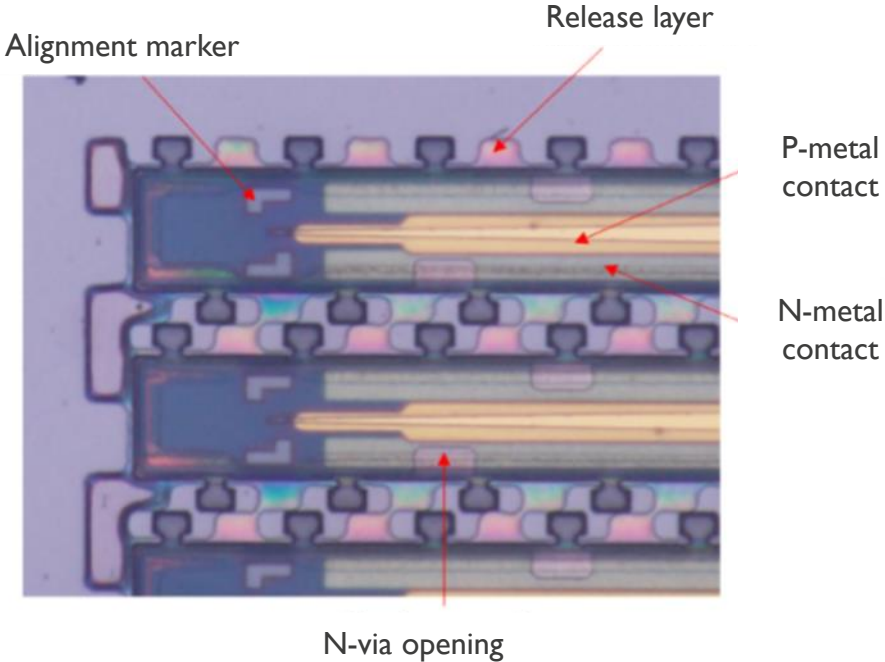
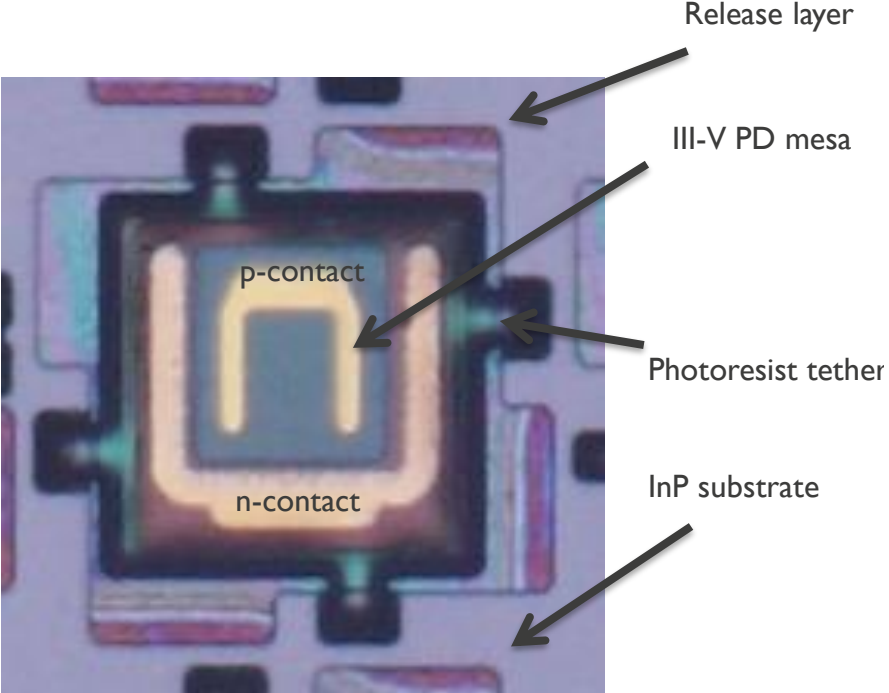
Micro-transfer printing basics

Device processing, release, pick-up & print

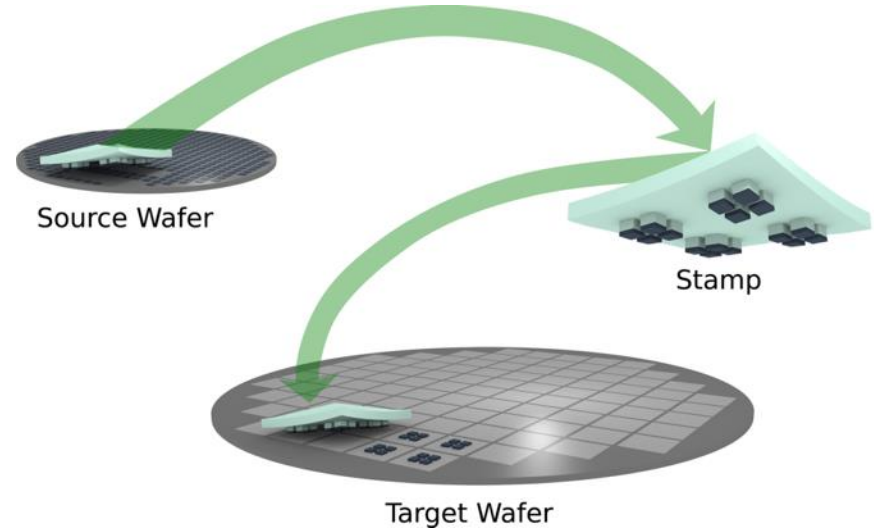
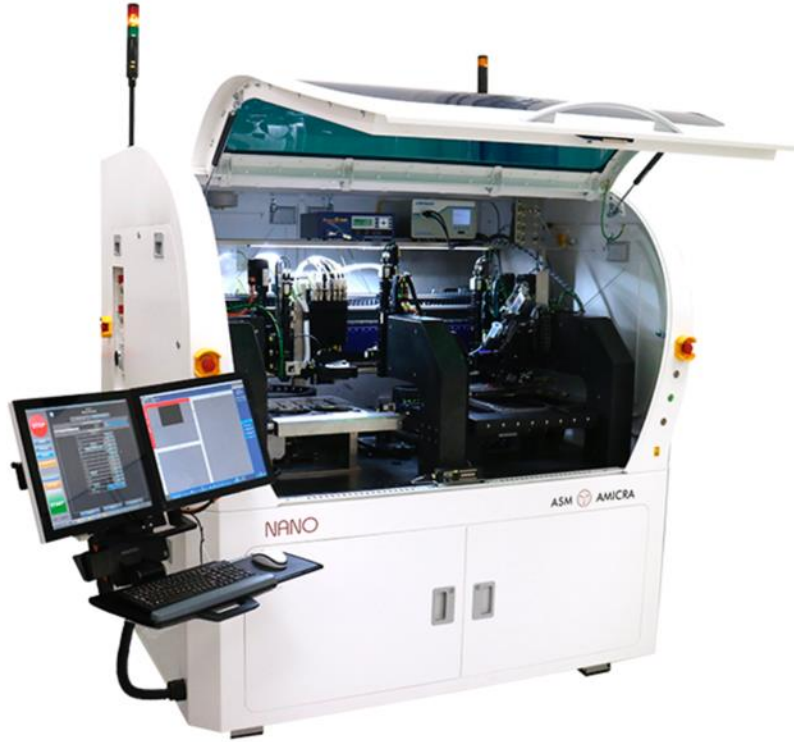


Transfer of released, micro-scale III-V devices to a Si target wafer

Example of transfer-print ready III-V devices



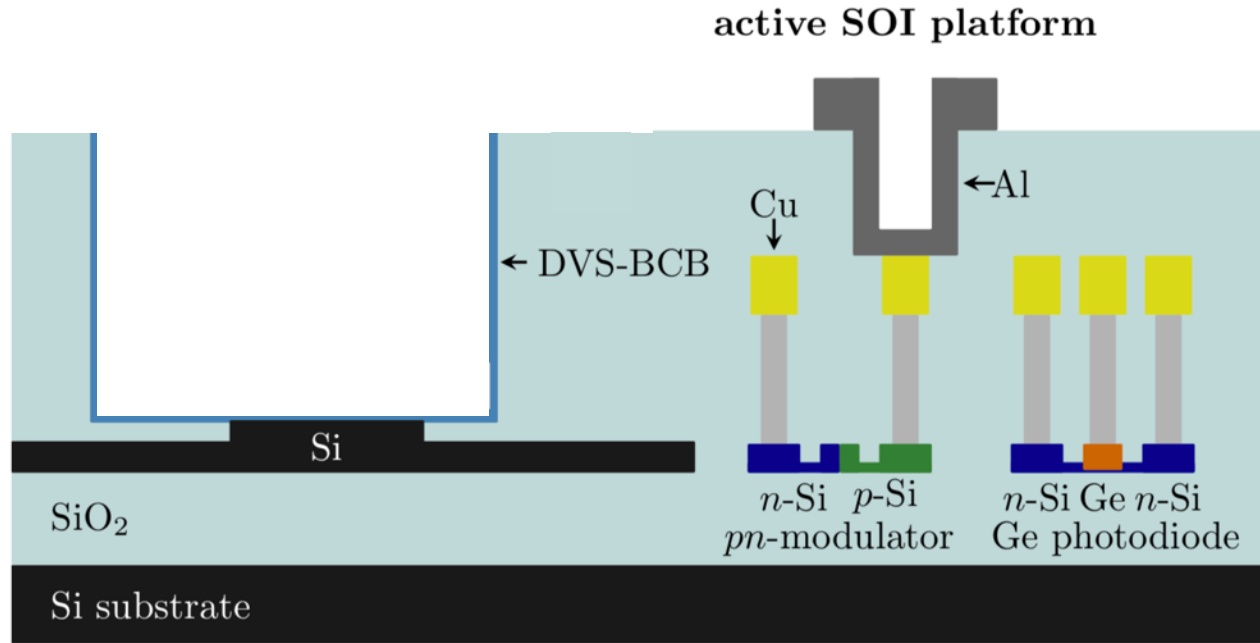
Micro-transfer printing



New tools: position tolerance of $\pm 0.5 \mu\text{m}$ at 3σ in medium arrays (about 1" x 1") – 1 print cycle < 60 sec

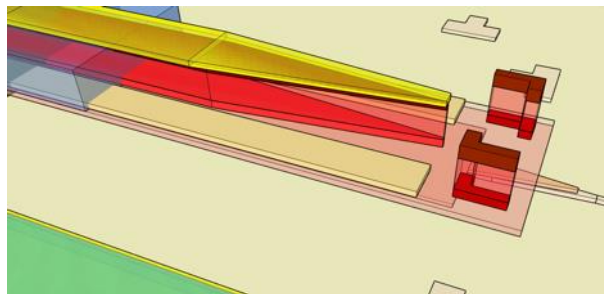
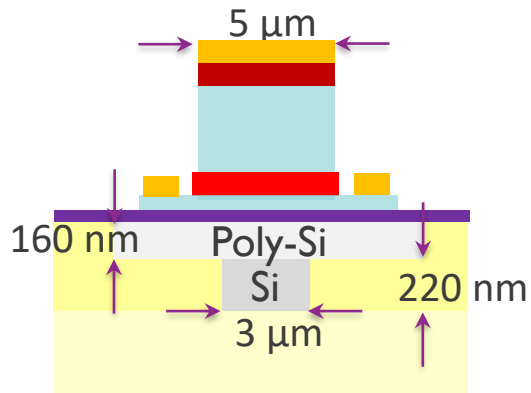
Micro-transfer printed III-V amplifiers / lasers on silicon photonics

Combining the assets of flip-chip integration and wafer bonding

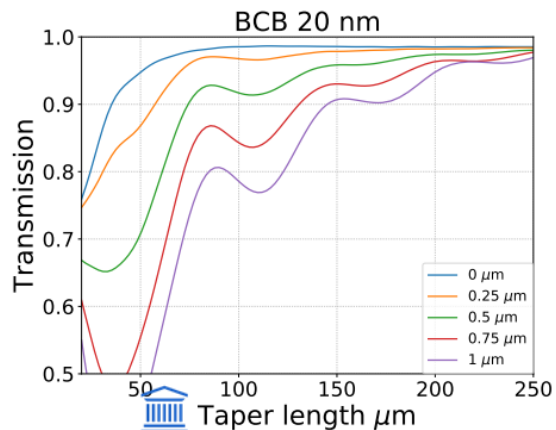
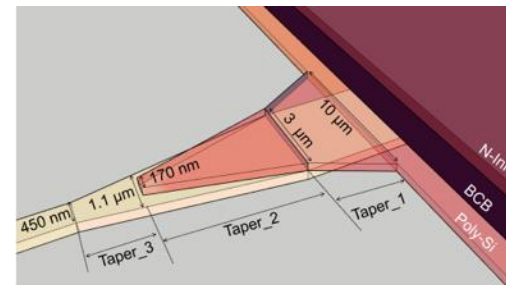


Local opening of back-end + integrate pre-fabricated thin-film optical amplifiers using an adhesive bonding agent + RDL

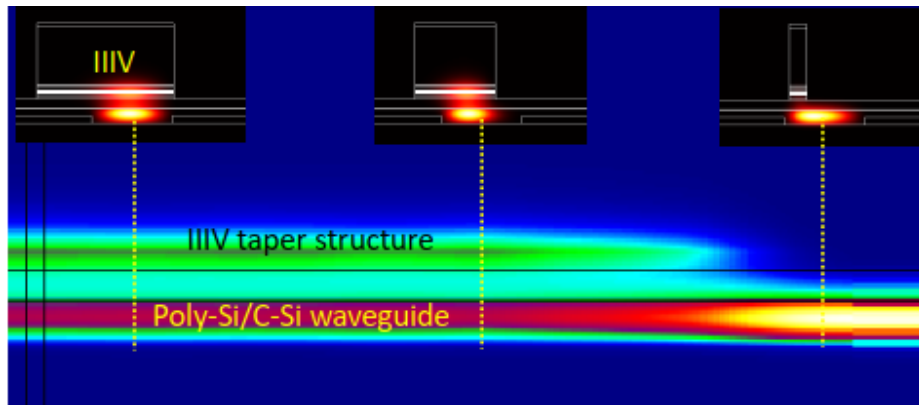
Alignment-tolerant III-V-Si evanescent coupling interface



Si/poly-Si/n-InP to Si



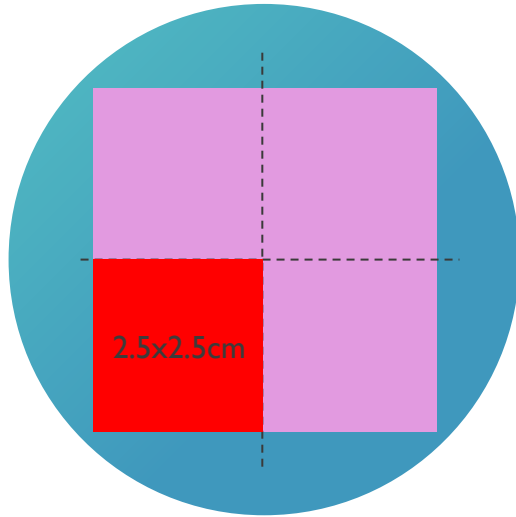
Si/poly-Si/n-InP to SOA waveguide



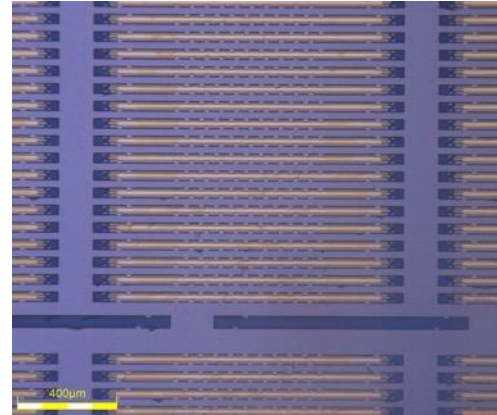
Processed opto-electronic devices on the III-V source wafer

Material systems: InP, GaAs, GaSb

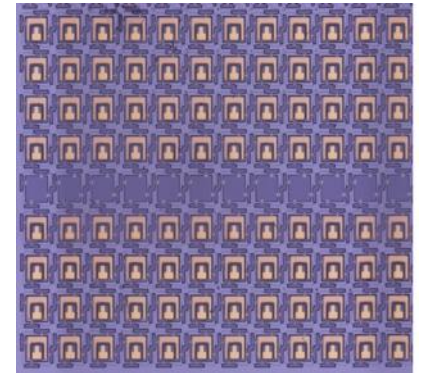
3 inch III-V wafer



55% wafer usage, but 3-10 times higher packing density of devices compared to discrete devices



Coupon pitch: 70 μm



Coupon pitch: 70 μm

Supply chain

Establishing supply chain through European projects



Transfer printing of InP 1550nm laser diodes, modulators and high-speed PDs on 200mm Si/SiN Photonics wafers

Smart Photonics as III-V Foundry
X-Celeprint as TP provider



Transfer printing of 1400-1700nm InP tunable laser diodes on 200mm SOI Photonics wafers

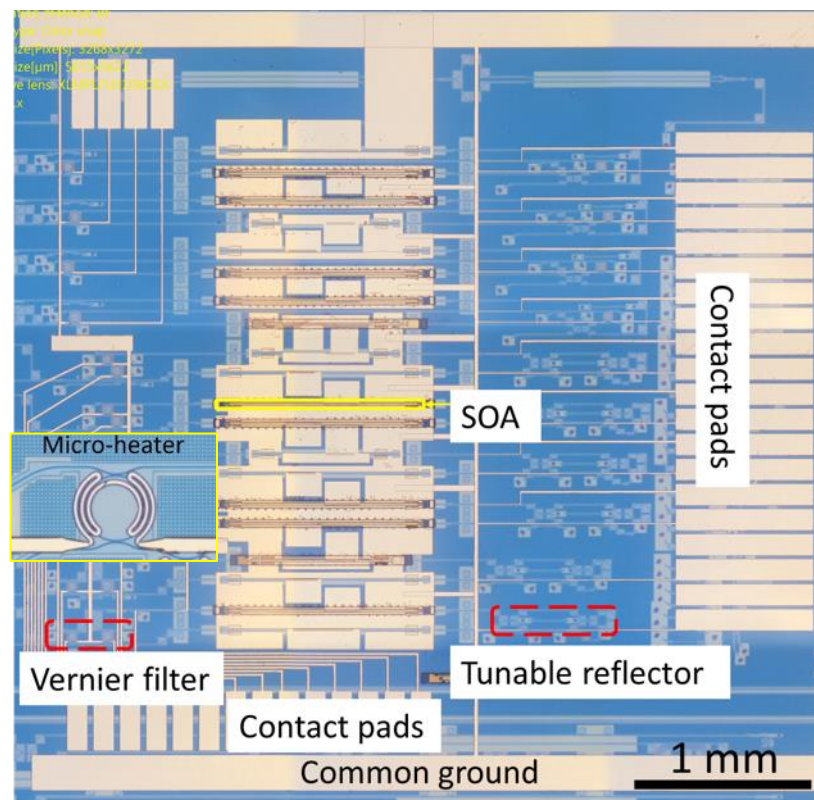
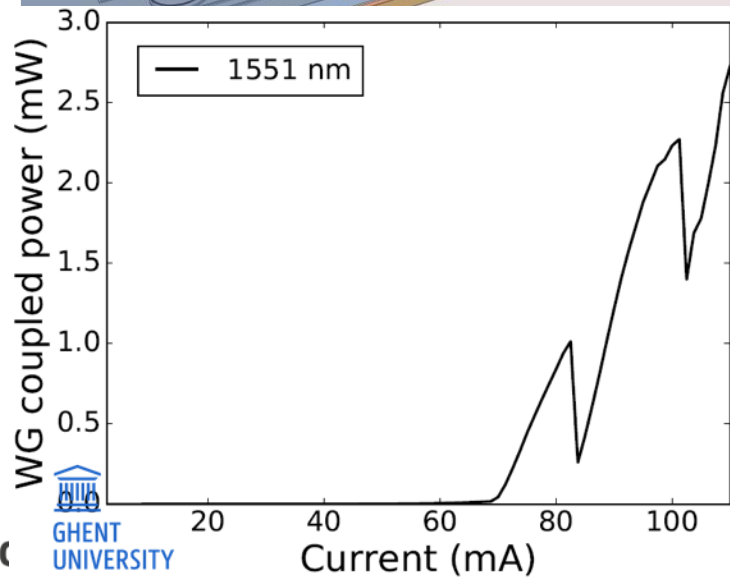
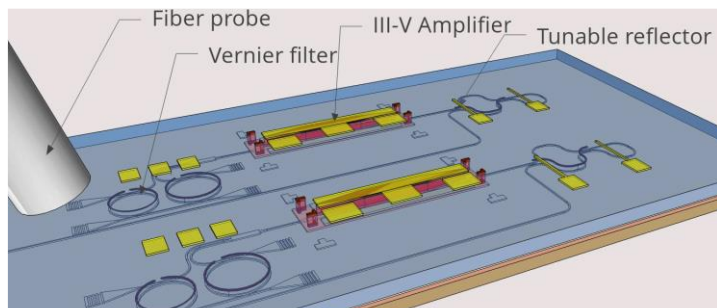
III-V Lab as III-V Foundry
X-Celeprint as TP provider (sub)



Transfer printing of 1300nm GaAs QD laser diodes on 300mm SOI Photonics wafers

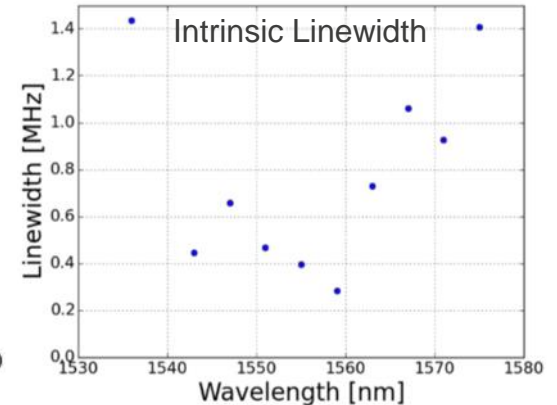
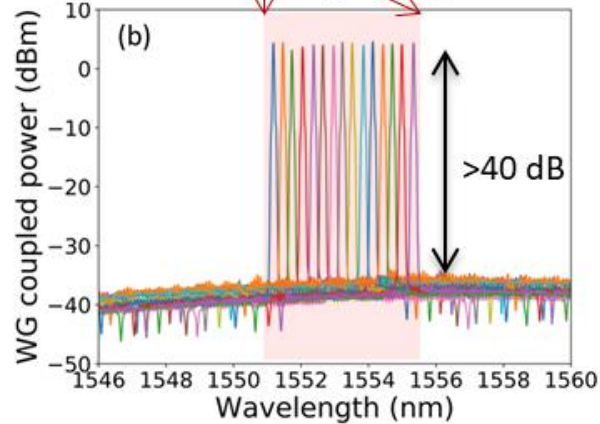
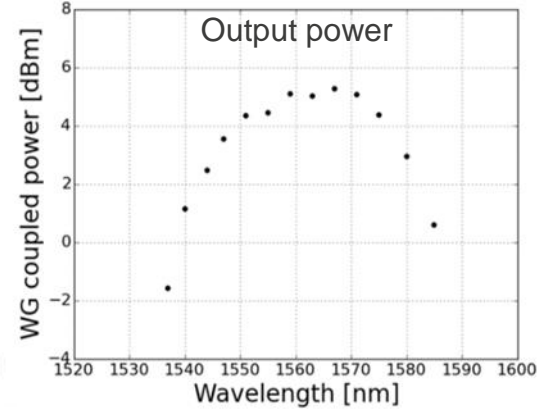
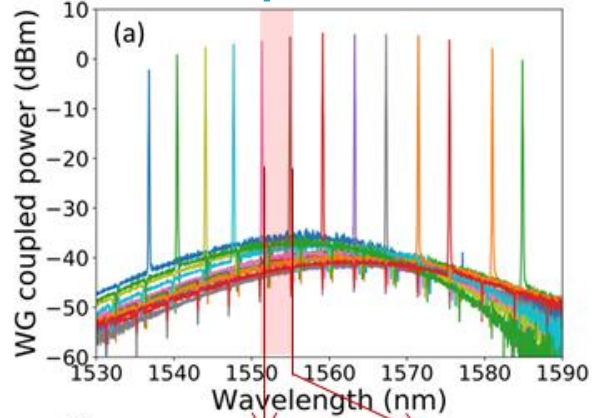
Innolume as III-V Foundry
X-Celeprint as TP provider

Transfer-printed III-V-on-Si widely tunable lasers



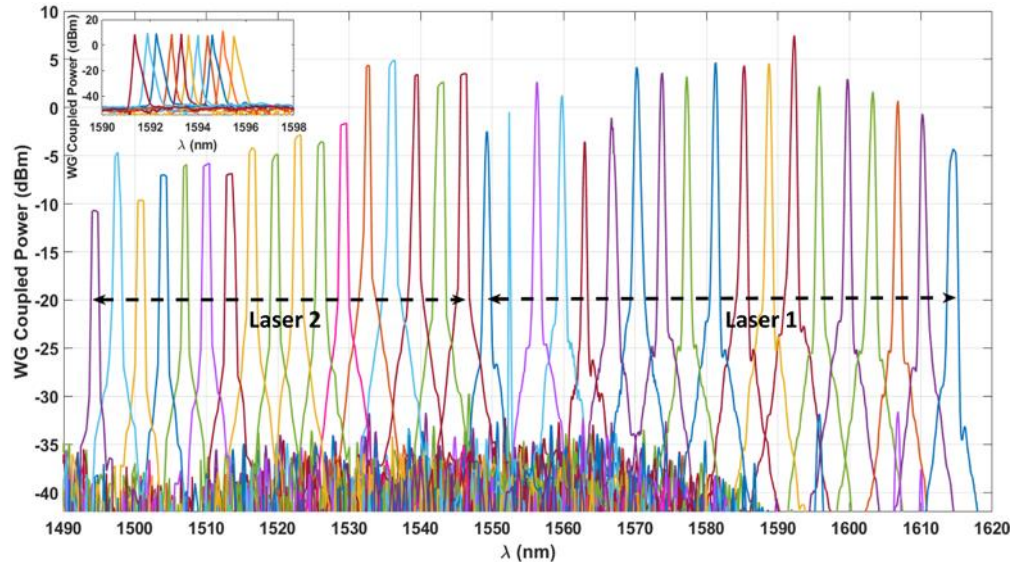
Transfer-printed III-V-on-Si widely tunable lasers

Tuning range: 50 nm
SMSR: >40 dB
Peak output power: >5 dBm
Minimum Linewidth: 300 KHz

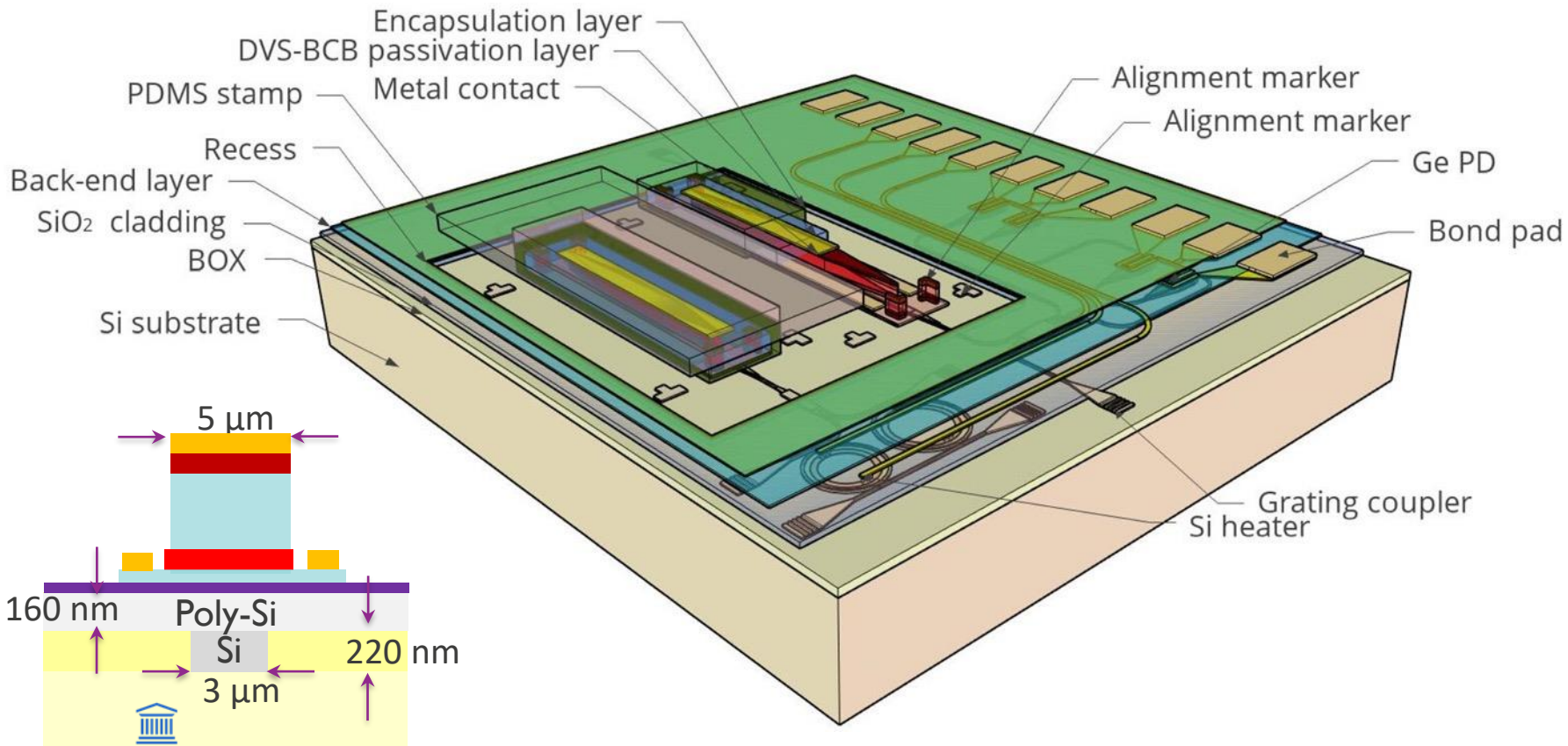


C-band SOA integration – passive Si + back-end

- Integration of two different III-V epi-stacks (gain peak at 1525nm and 1575nm) for extended wavelength coverage



Integration on imec's iSIPP50G platform

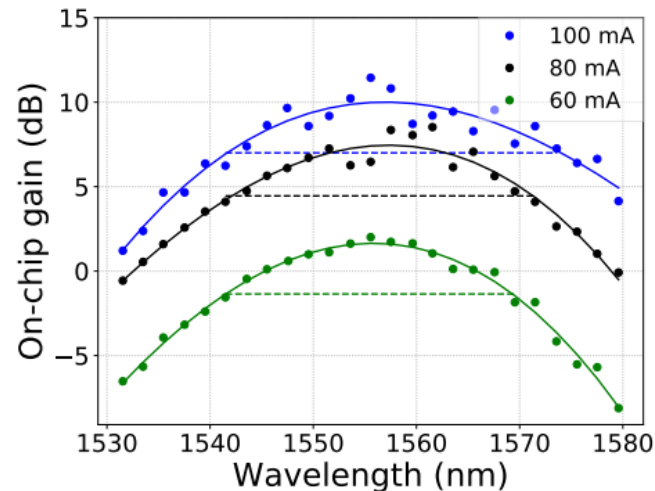
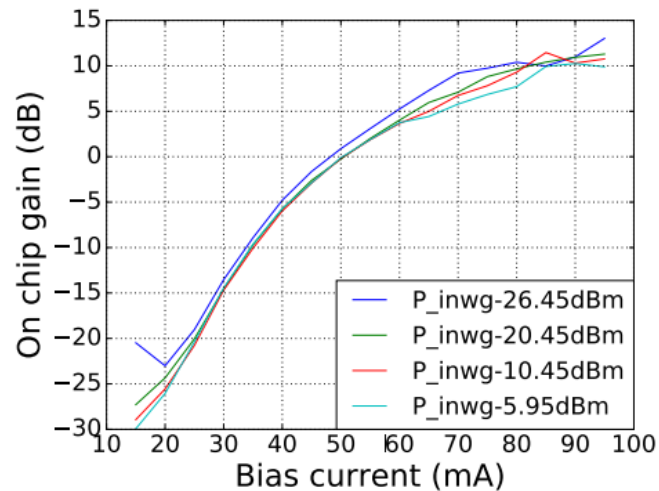
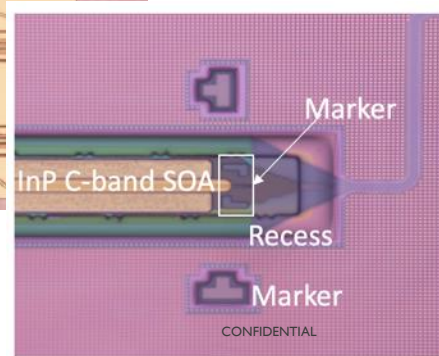
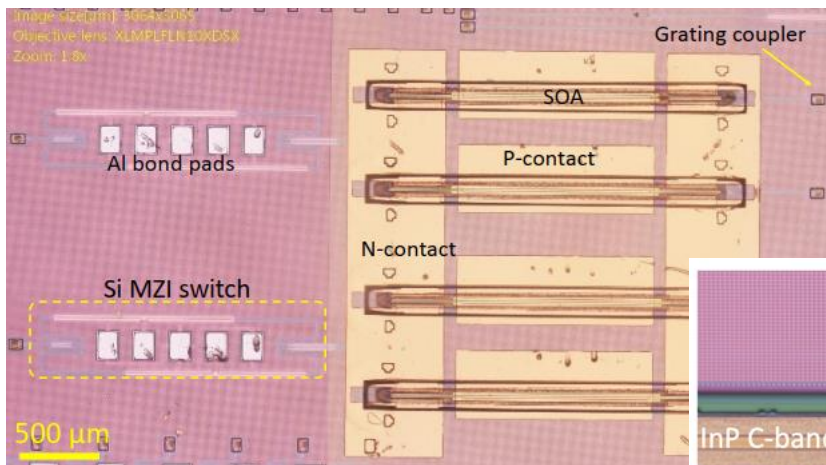


Transfer printed C-band SOAs

SOA integration on iSIPP50G (imec SOI full platform)

Small signal gain: 10dB @ 100mA

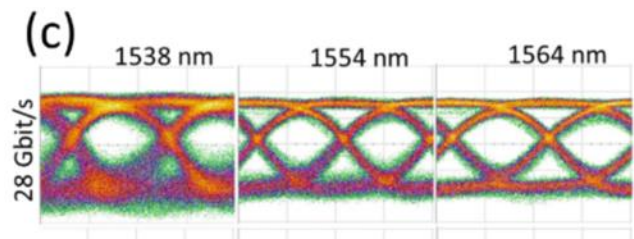
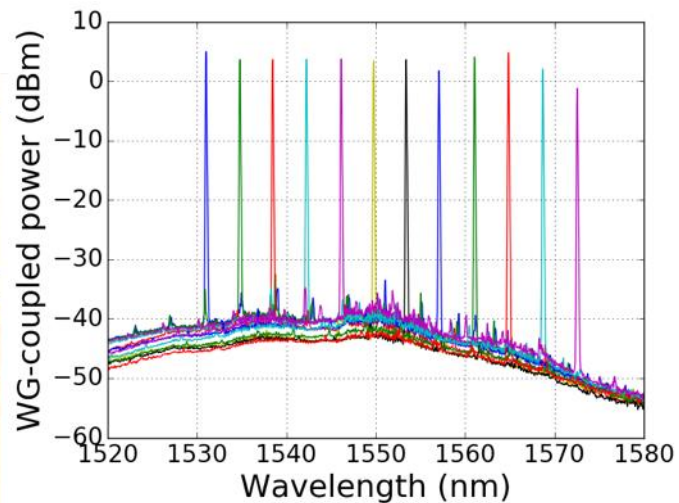
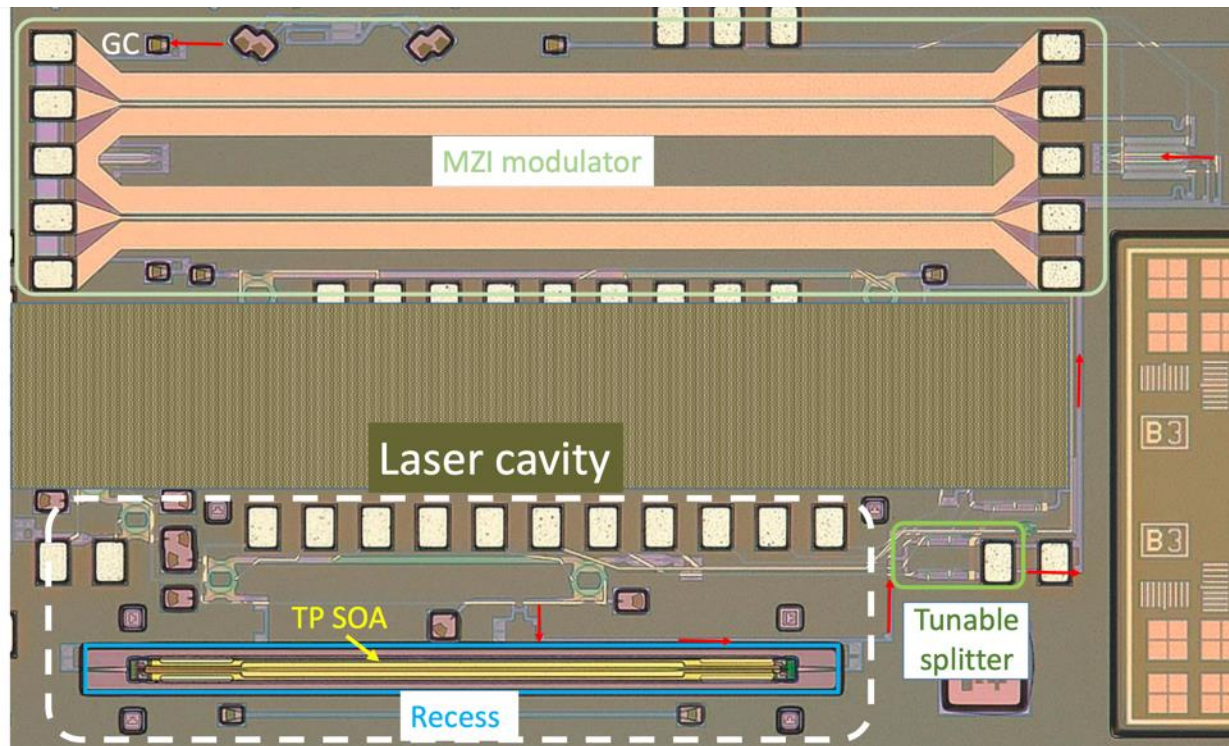
3dB gain bandwidth: 35 nm @100 mA



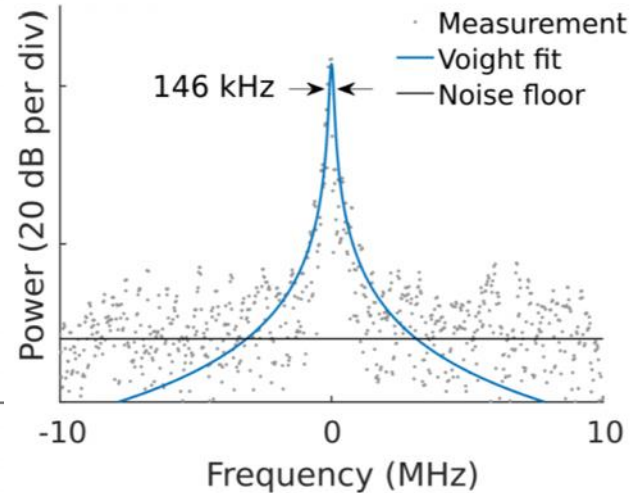
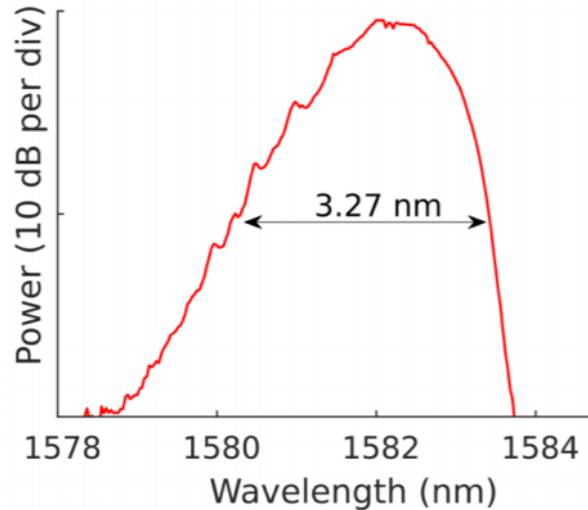
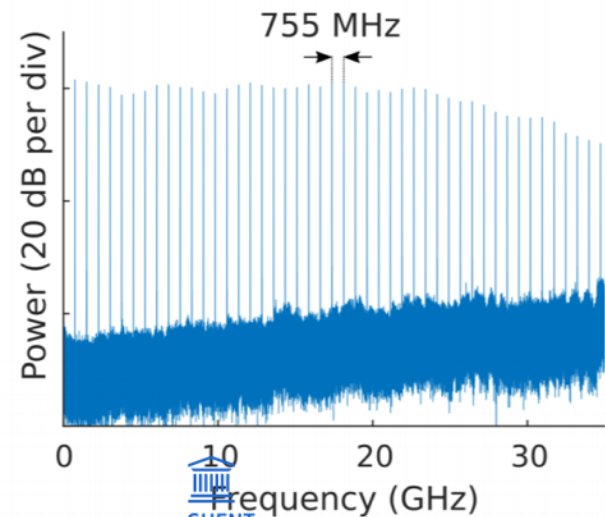
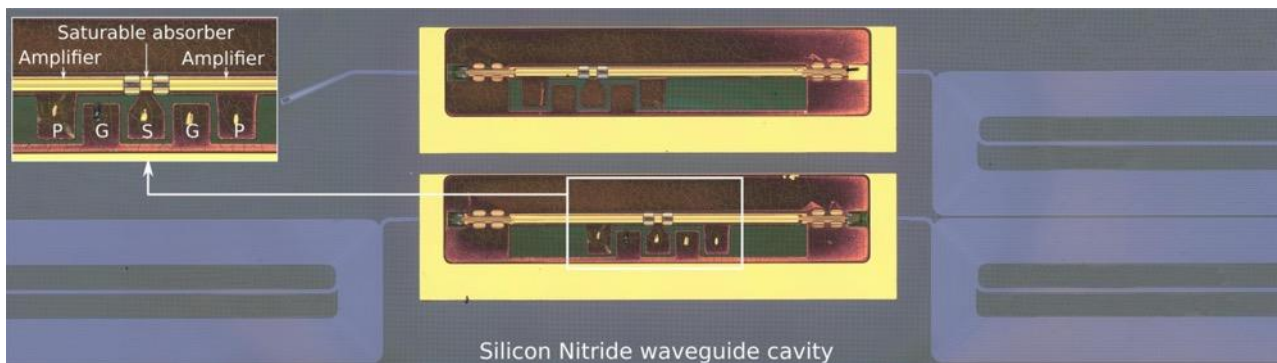
J. Zhang et al., ECTC 2022

III-V-on-silicon tunable lasers

InP/Si extended cavity lasers on full SiPh platform



Transfer printed III-V-on-SiN modelocked laser



III-V integration on LPCVD SiN PICs

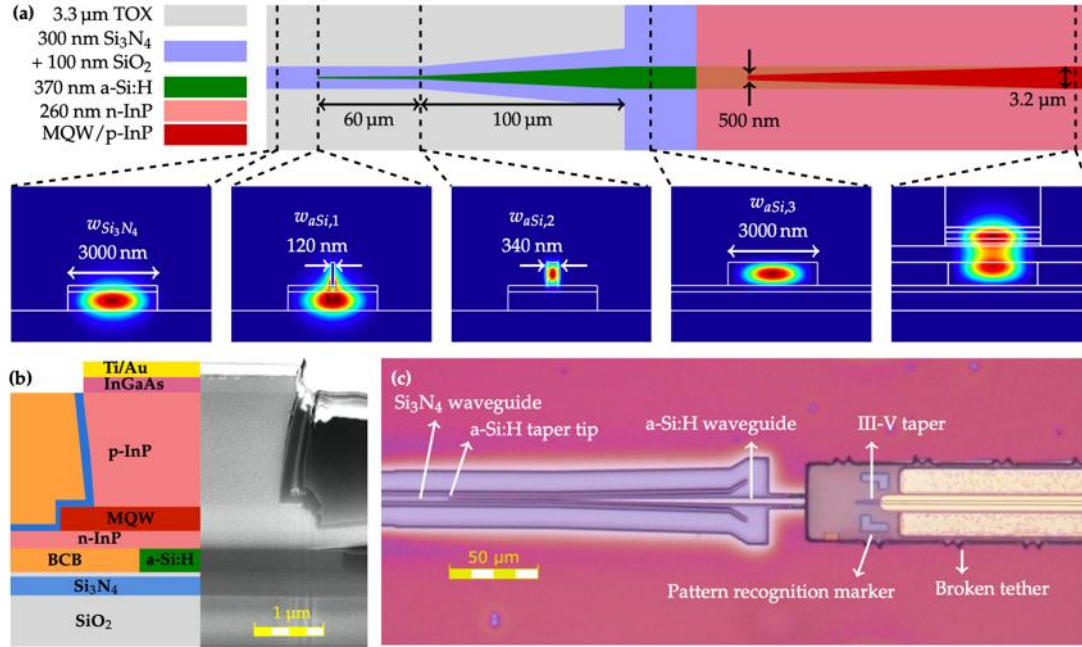
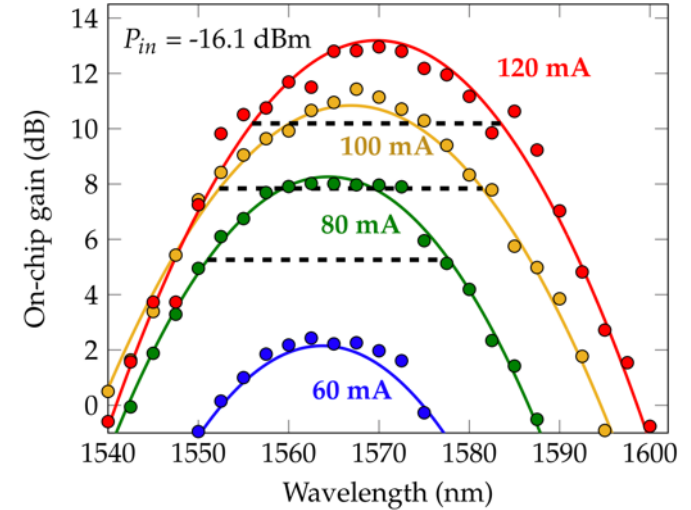


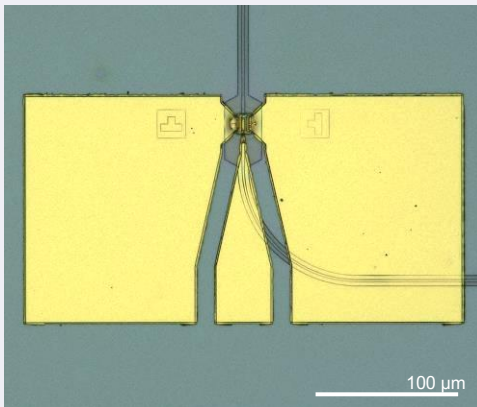
Fig. 1. (a) Schematic layout of the two-step taper from the Si_3N_4 waveguide to the InP/InAlGaAs amplifier. The fundamental TE mode is shown at different stages in the taper. The first two modal distributions are plotted with the same color scale to illustrate the mode matching at the a-Si:H tip interface. (b) SEM image of a cross-section of a micro-transfer printed III-V amplifier on an a-Si:H waveguide, overlaid with a schematic drawing of the stack. A lateral misalignment of ~ 650 nm between the SOA and the a-Si:H waveguide is visible. (c) Optical microscope image of a micro-transfer printed SOA coupon on an a-Si:H waveguide coupling to the Si_3N_4 layer.



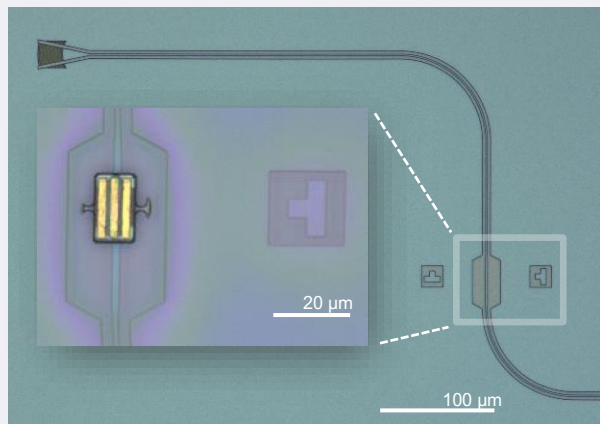
Transfer printed UTC

D. Maes et al., CLEO 2022

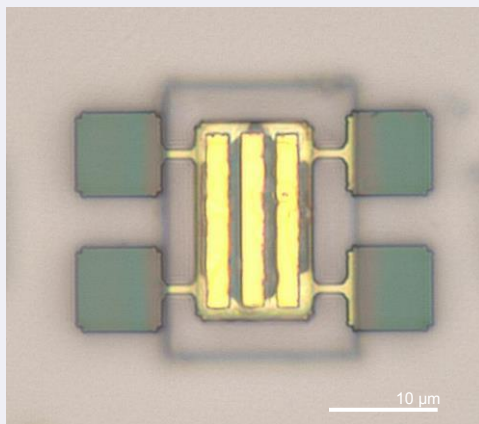
- 0.45 A/W responsivity
- 10 nA dark current
- > 100 GHz bandwidth



Coplanar waveguide for probe characterization



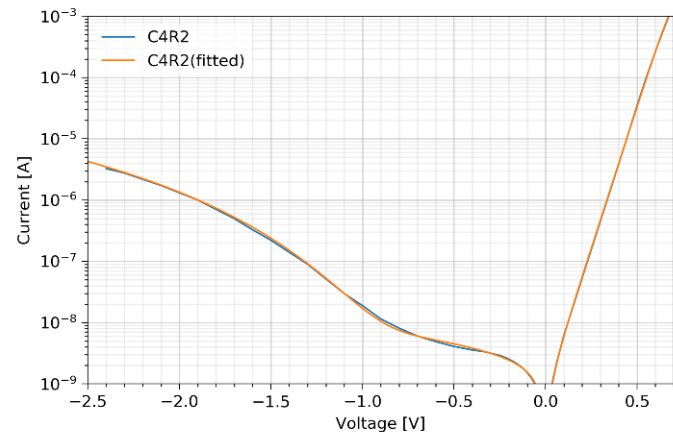
SiN waveguide with transfer-printed photodiode



Suspended UTC photodiode coupon

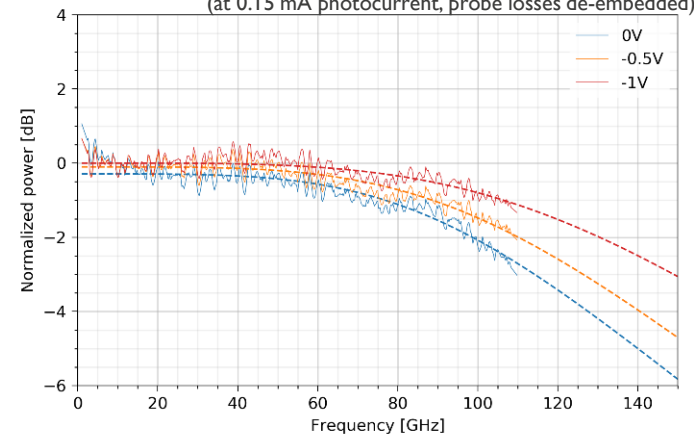
Dark current

(junction area = $2 \times 16 \mu\text{m}^2$)



RF-response

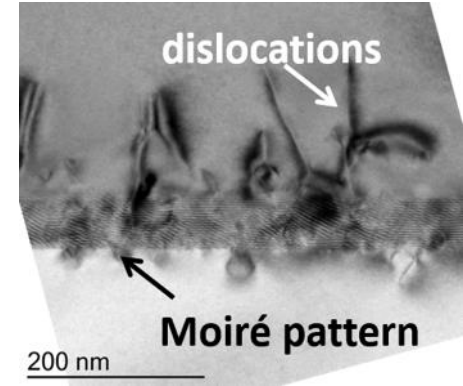
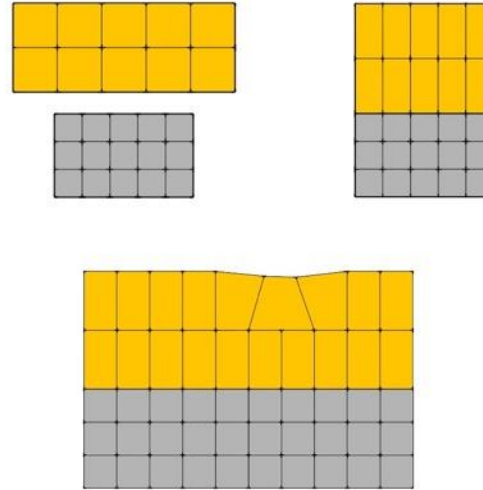
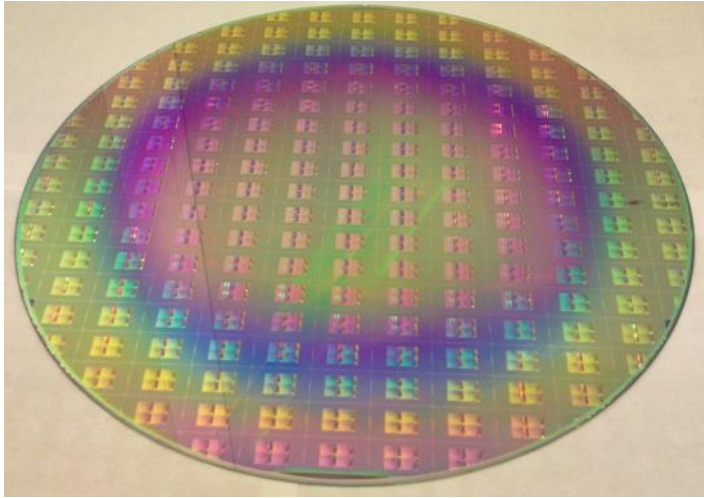
(at 0.15 mA photocurrent, probe losses de-embedded)



Hetero-epitaxial growth

Direct Epitaxy on Silicon

Promises & Challenges

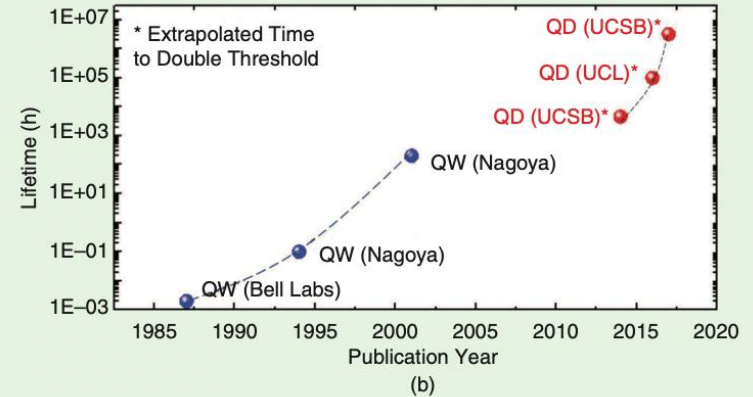
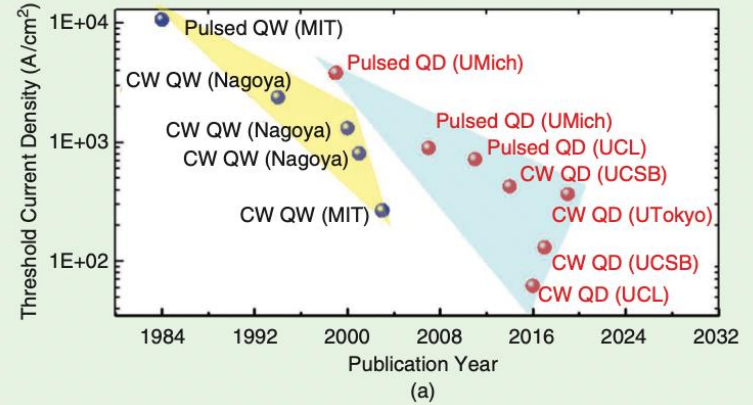
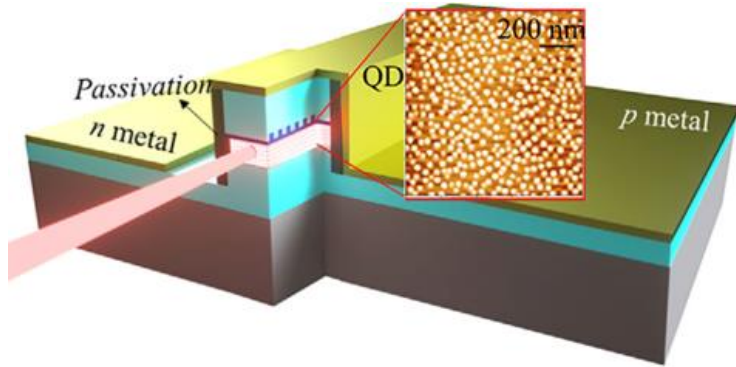


- Ultimate scalability: selective growth using MOCVD on 300mm wafers
- But: lattice mismatch, different polarity, different thermal expansion coefficients...

Direct Epitaxy on Silicon

Approach I: Planar growth, quantum dot active layers

- Using QDot gain layers to minimize effect of dislocations
- Excellent performance & long lifetime demonstrated
- But: not trivial to integrate with standard silicon photonics platforms

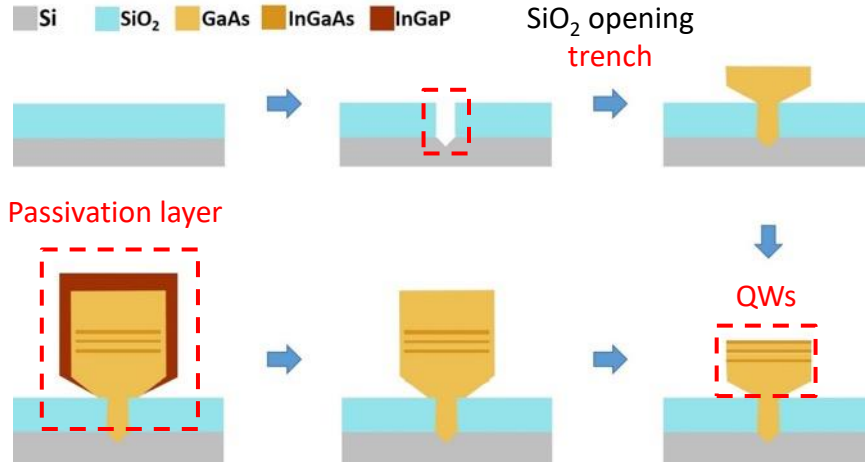


From Y. Wan e.a., IEEE Nanotechnology Magazine, 2021 (UCSB)

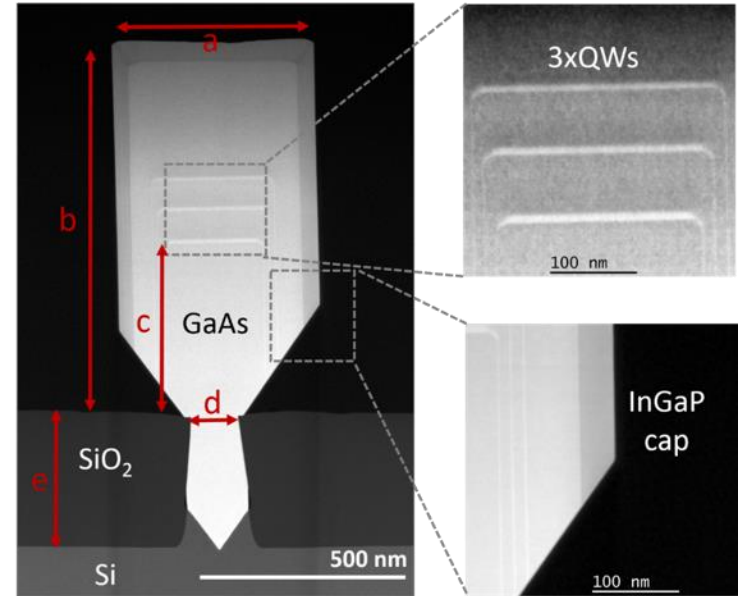
IMEC approach

Aspect-Ratio-Trapping (ART) & Nanoridge Engineering

Basic processing scheme



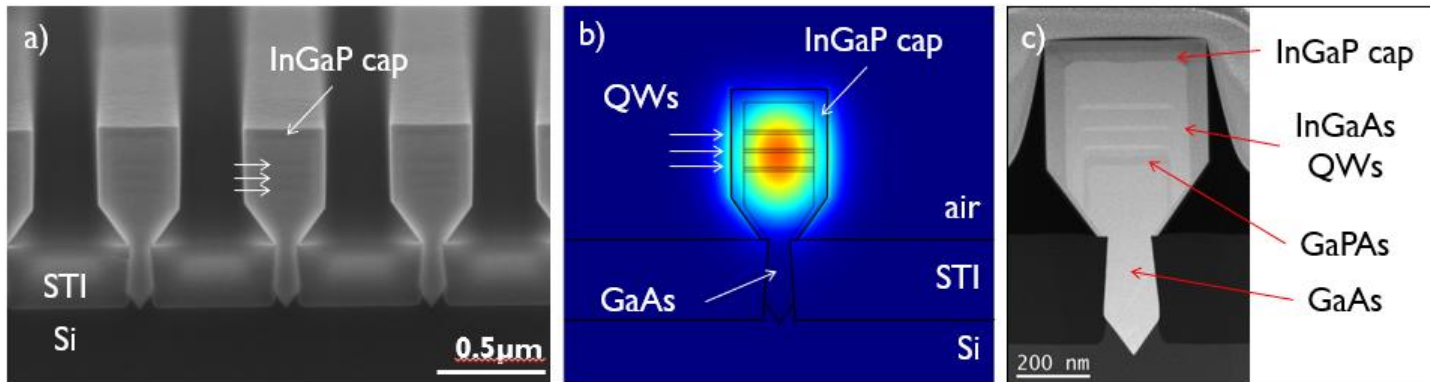
Reference sample



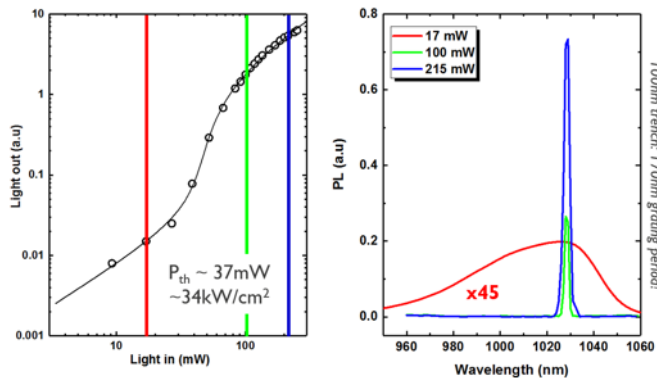
Nano-Ridge Engineering for III-V device

Novel integration concept on 300mm Si substrate

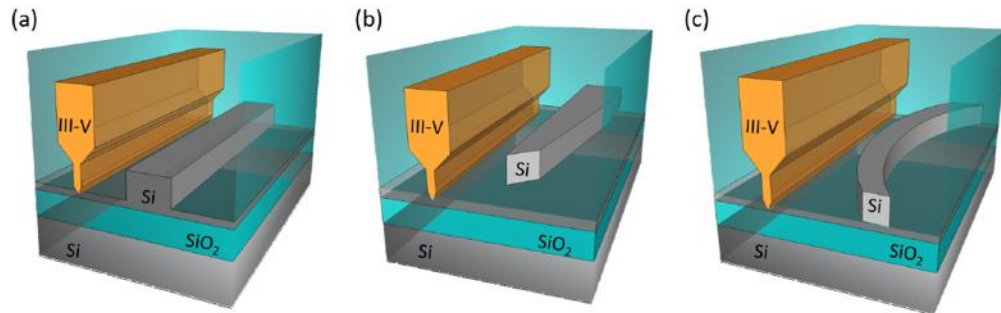
Nano-Ridge Engineering: Optically pumped InGaAs/GaAs DFB nano-ridge Laser



Y. Shi et al., Optica Vol. 4, No 12, 1468 (2017)
 Y. Shi et al., Optics Express, 27, 26 (2019) 37781

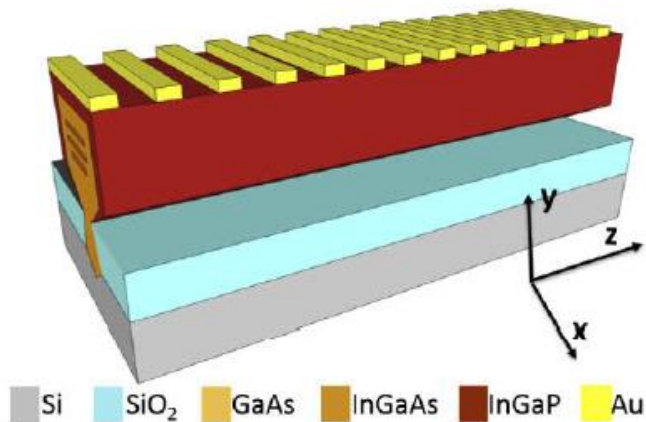
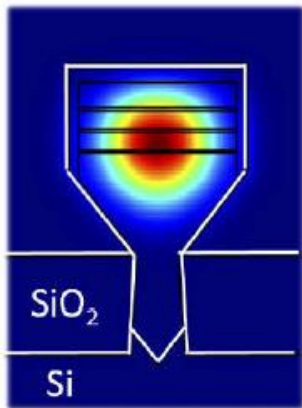


Novel adiabatic coupler for III-V nano-ridge laser



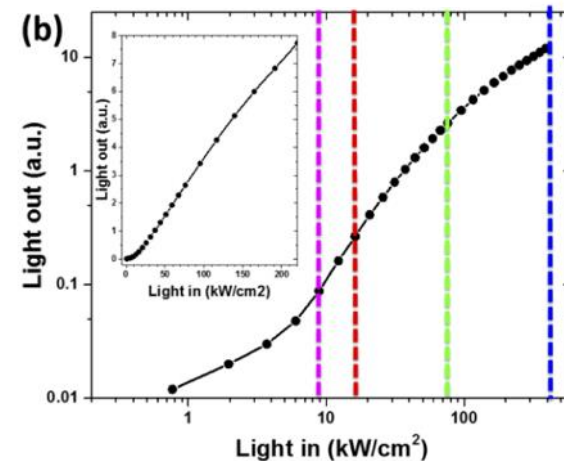
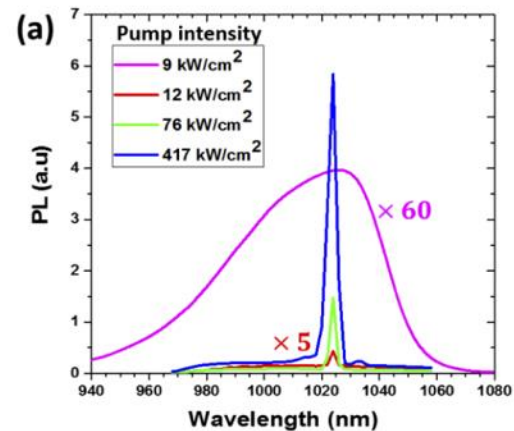
Loss-coupled DFB nano-ridge laser

Metal grating deposited on top of the nano-ridge



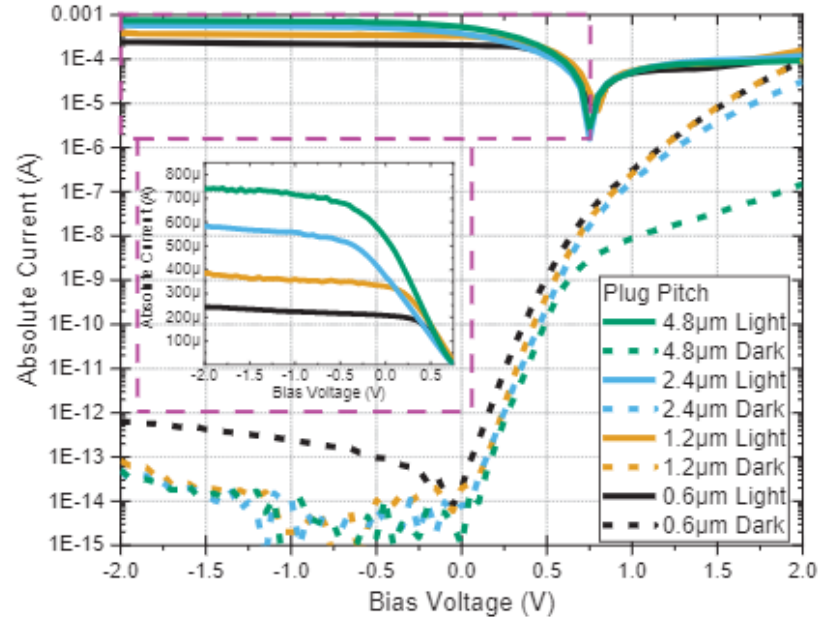
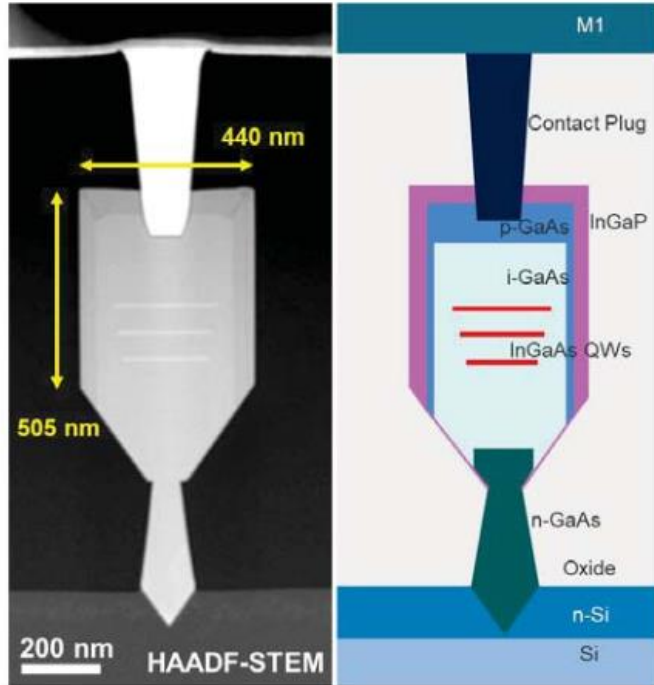
Y. Shi et al., Optics Express Vol. 29, No. 10, 14649 (2021)

Next step: electrically injected lasers



InGaAs/GaAs nano-ridge photodetector

0.3pA Dark Current and 0.65A/W Responsivity at 1020nm



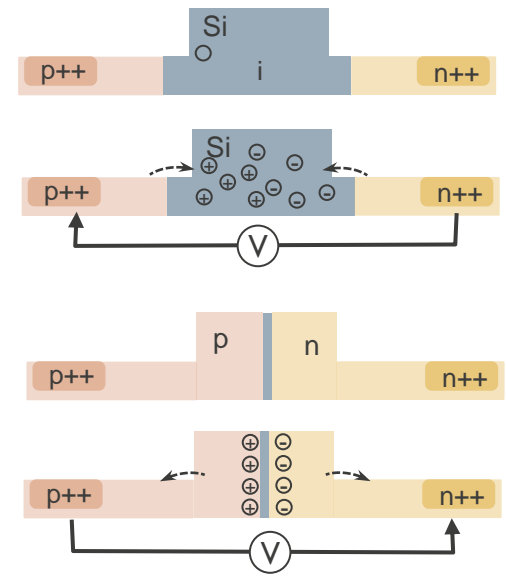
C. I. Ozdemir et al., 2020 ECOC, 20349509

Next Generation Modulators

Motivation

Why do we need alternative modulator approaches?

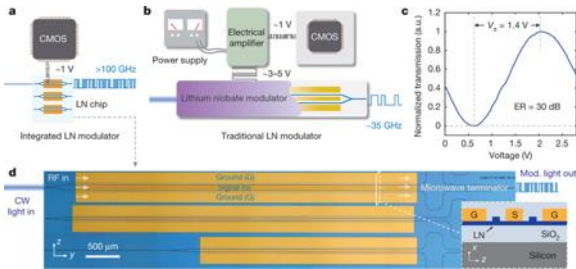
- The Silicon platform already has modulators and switches:
 - Exploiting carrier dispersion
 - Depletion (pn), injection (pin), accumulation devices
- Using native CMOS-processes
 - Highly mature
 - Very well understood, good models available
 - Very reliable processes
- BUT
 - Carrier dispersion show intrinsic trade-off between: efficiency – loss – speed
 - No pure phase modulation (AM/FM mixing)
 - Not compatible with cryogenic temperatures
 - Ge-based devices (FK): limited ratio ER/IL, limited operating wavelength range



ER: Extinction Ratio – IL: Insertion Loss

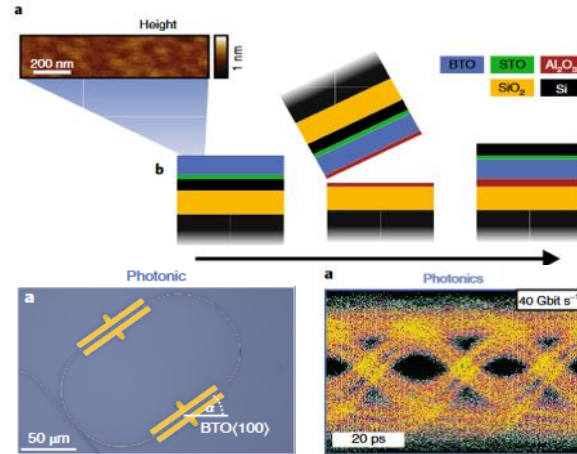
Explosion in new modulator materials

LiNbO₃ on Insulator



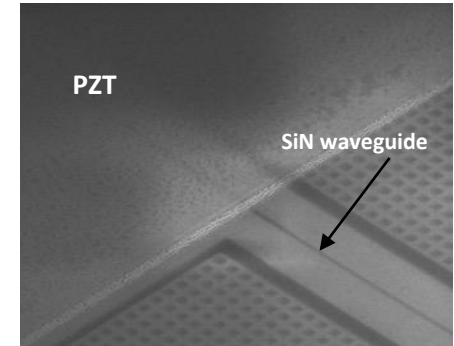
Wang e.a., Nature 2018

BTO on Silicon



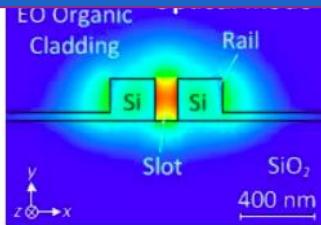
Stefan Abel et al, Nat. mat. 2018

PZT-on-anything

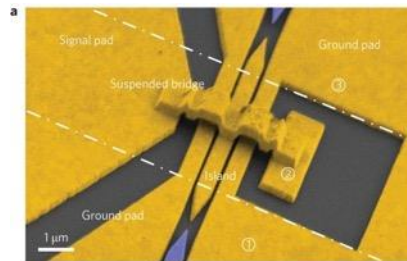


K. Alexander et al, Nat. Comm. 2018

Electro-optic Polymers

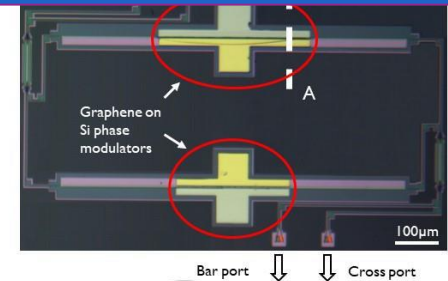


Koos e.a., Nature 2017



Leuthold e.a.

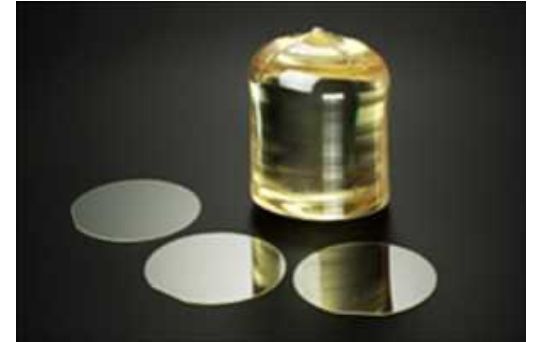
Graphene



Romagnoli e.a., Nature Photonics 12 40 44 (2018)

Lithium Niobate (LN)

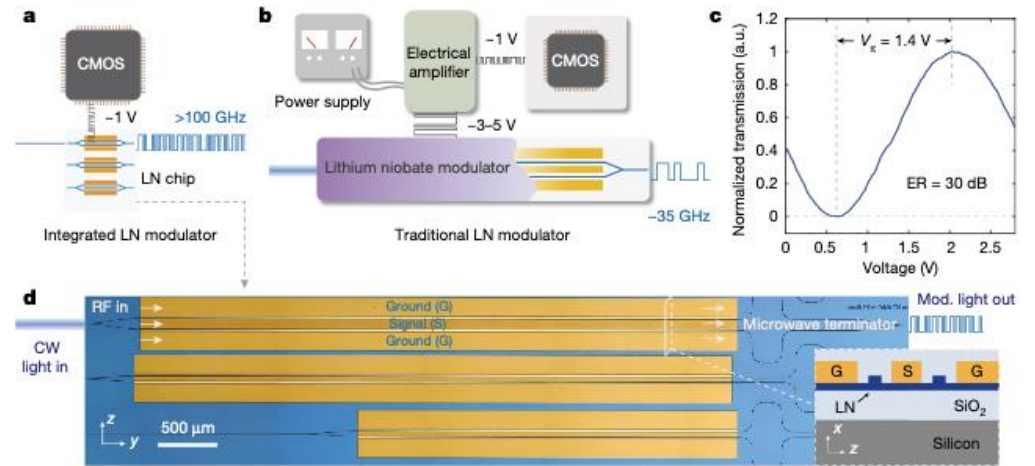
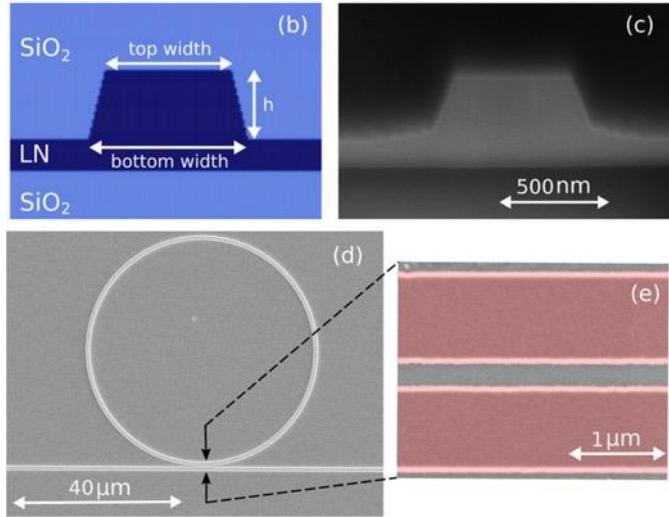
- Till recently the main modulator technology in telecom
 - Very well understood
 - Very good linearity
 - Decent performance ($r_{\text{eff}} \sim 30\text{pm/V}$)
- But how to integrate with Silicon ?
 - LiNbO_3 typically grown as bulk crystal
- Breakthrough:
 - Company NanoLN started providing thin film LN on Silicon substrates
 - Loncar-group (Harvard) demonstrated it is possible to etch low-loss WG in LN



From <https://surfingmagazine.net>

Integrated lithium niobate electro-optic modulators operating at CMOS-compatible voltages

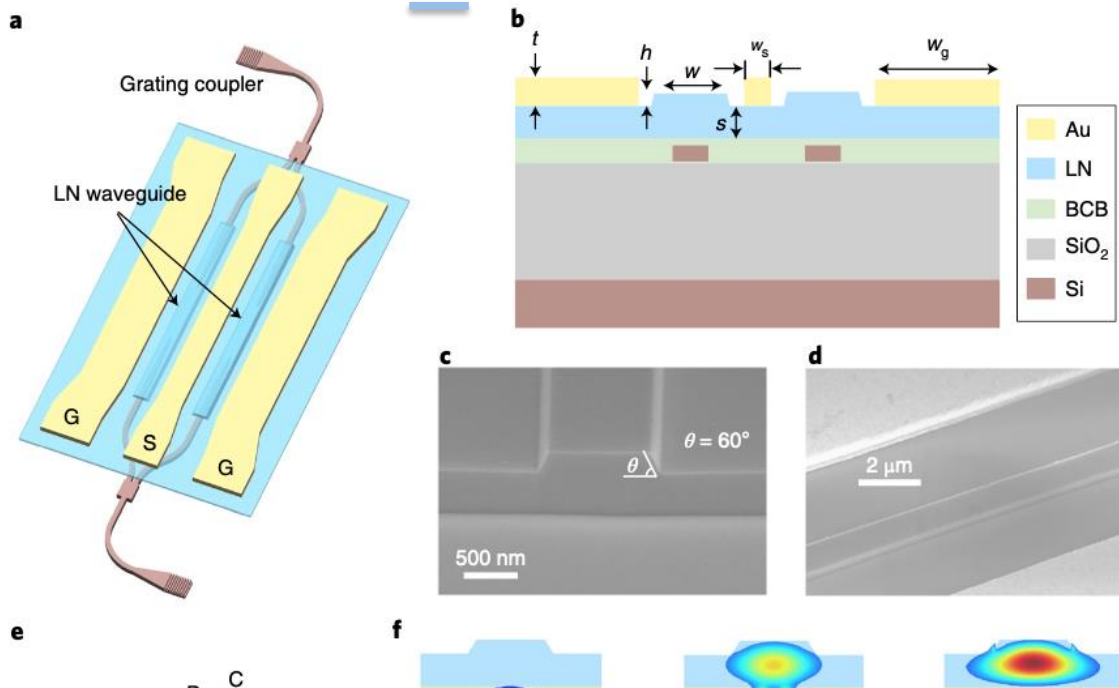
Cheng Wang^{1,2,6}, Mian Zhang^{1,6}, Xi Chen³, Maxime Bertrand^{1,4}, Amirhassan Shams-Ansari^{1,5}, Sethumadhavan Chandrasekhar³, Peter Winzer³ & Marko Lončar^{1*}



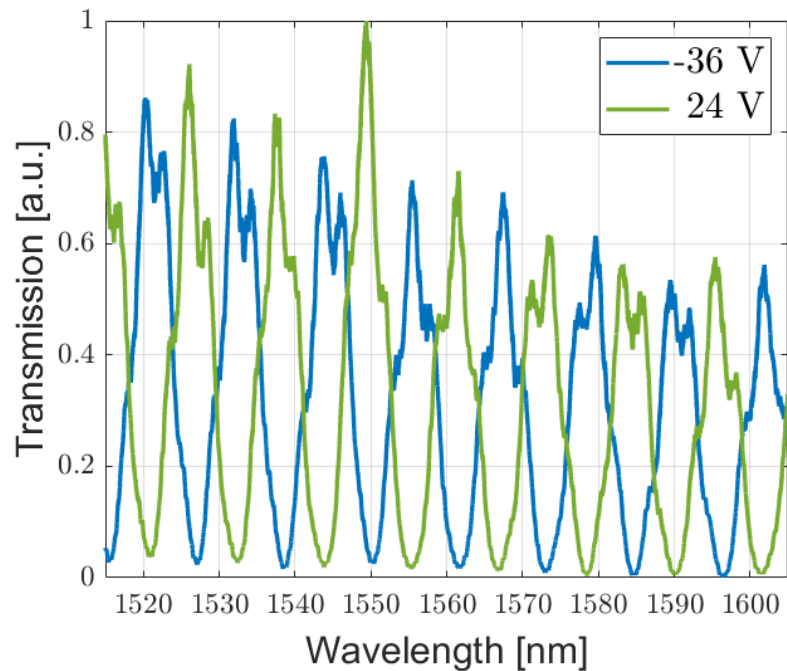
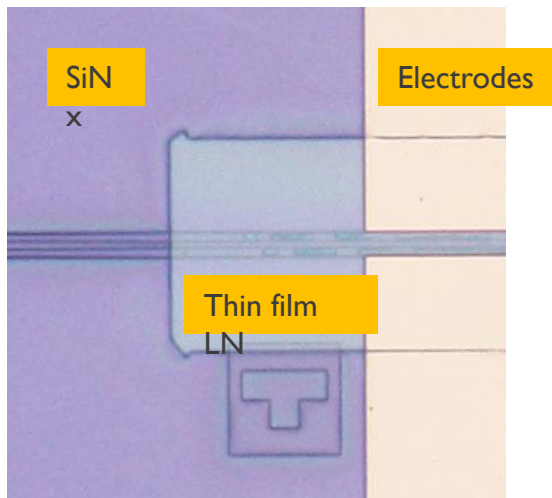
High-performance hybrid silicon and lithium niobate Mach-Zehnder modulators for 100 Gbit s⁻¹ and beyond

Mingbo He¹, Mengyue Xu¹, Yuxuan Ren², Jian Jian¹, Ziliang Ruan², Yongsheng Xu², Shengqian Gao¹, Shihao Sun¹, Xueqin Wen², Lidan Zhou¹, Lin Liu¹, Changjian Guo², Hui Chen¹, Siyuan Yu¹, Liu Liu^{2*} and Xinlun Cai^{1*}

State Key Laboratory of Optoelectronic Materials and Technologies and School of Electronics and Information Technology, Sun Yat-sen University, Guangzhou, China. ²Centre for Optical and Electromagnetic Research, Guangdong Provincial Key Laboratory of Optical Information Materials and Technology, South China Academy of Advanced Optoelectronics, South China Normal University, Higher-Education Mega-Center, Guangzhou, China.



Transfer printing of lithium niobate

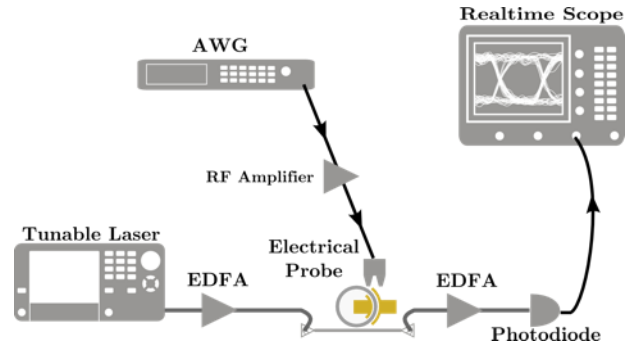
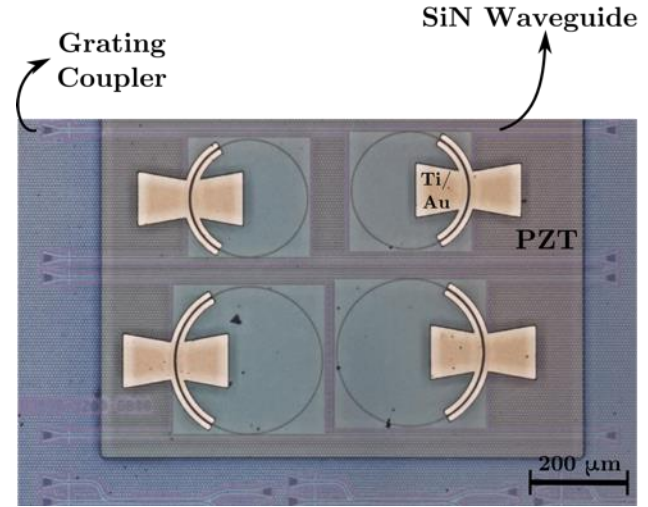
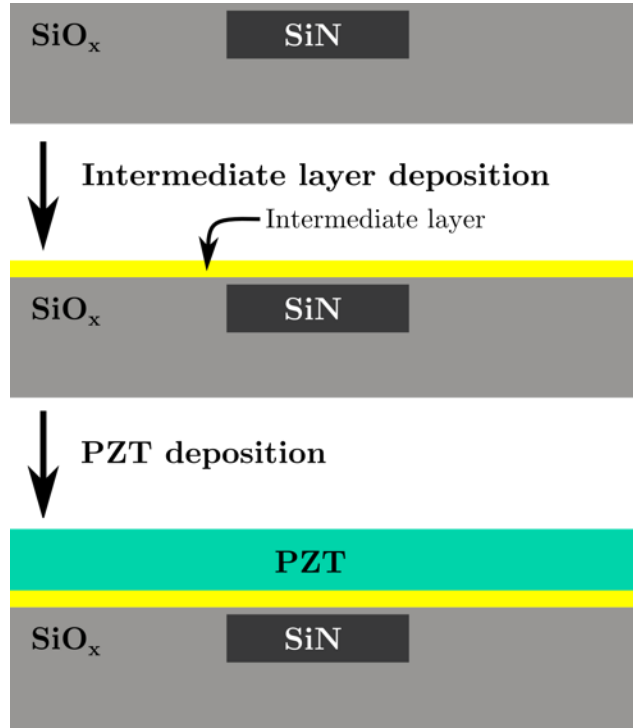


$$V_{\pi}L_{\pi} \approx 5.4 \text{ Vcm}$$

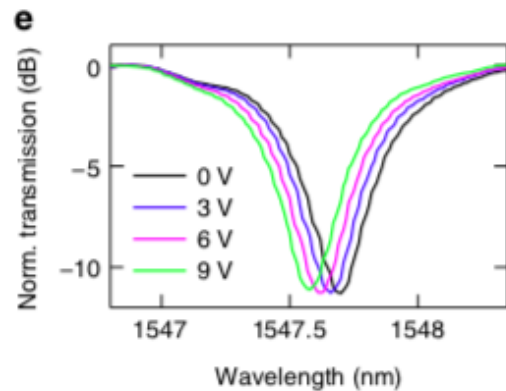
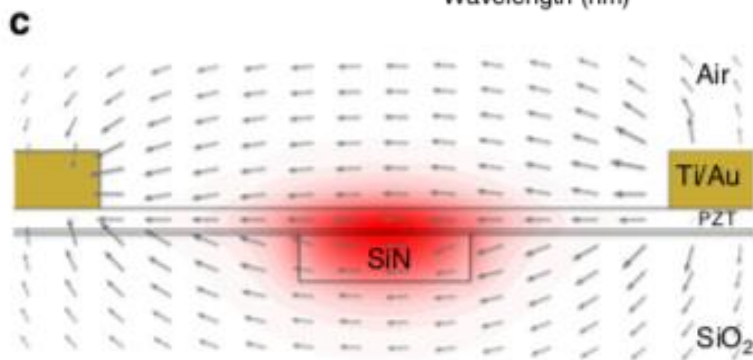
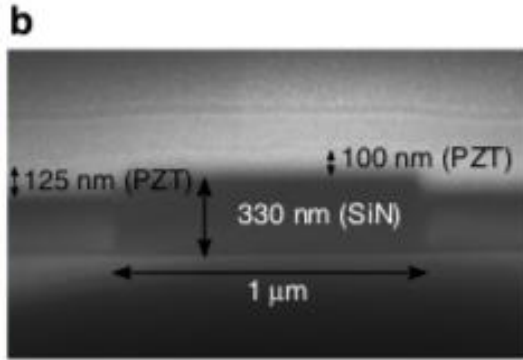
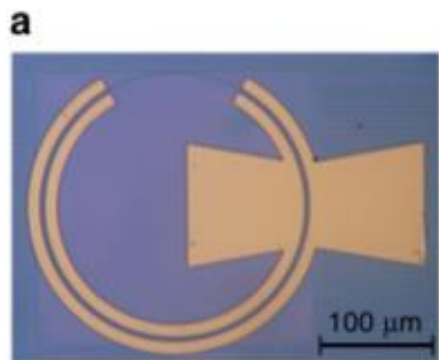
$$L_{LN} = 1.0 \text{ mm}$$

$$L_{Electrodes} = 0.9 \text{ mm}$$

Alternative: PZT Sol-Gel integration



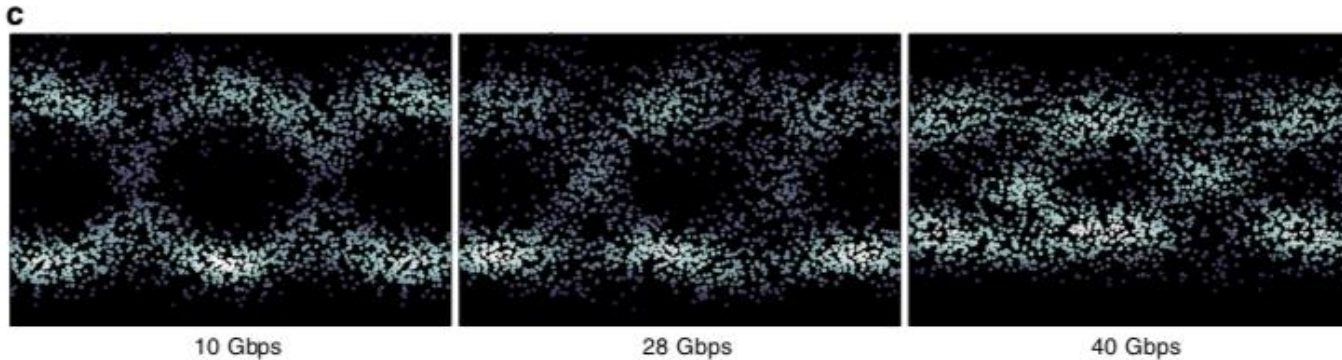
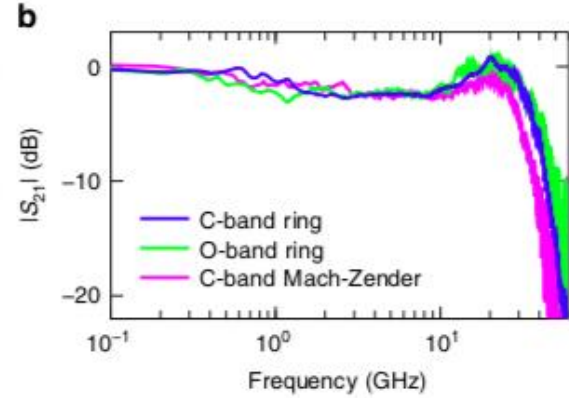
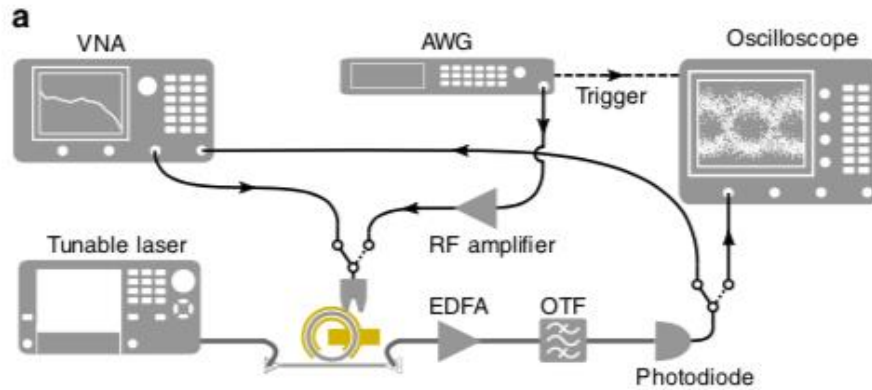
Nanophotonic Pockels modulators on a silicon nitride platform



$$V_{\pi}L = 3.2 \text{ V.cm} - \alpha \approx 1 \text{ dB cm}^{-1}$$

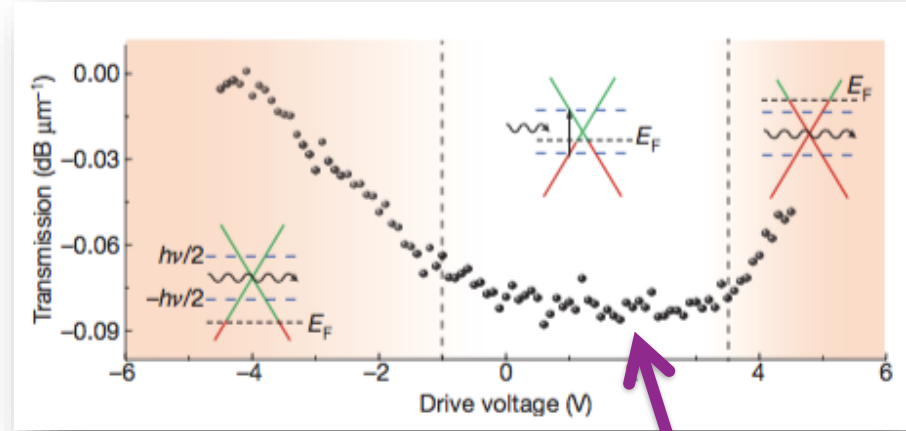
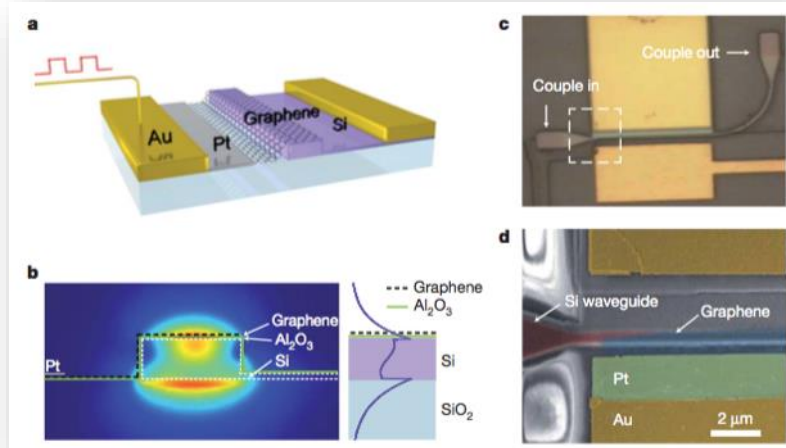
PZT On Silicon Nitride

$$V_{\pi}L = 3.2 \text{ V.cm} - \alpha \approx 1 \text{ dB cm}^{-1} - \text{BW} = 33\text{GHz}$$



Graphene based modulators

- Shifting graphene's Fermi level changes its absorption (and refr. index)
- The effect is broadband and intrinsically very fast

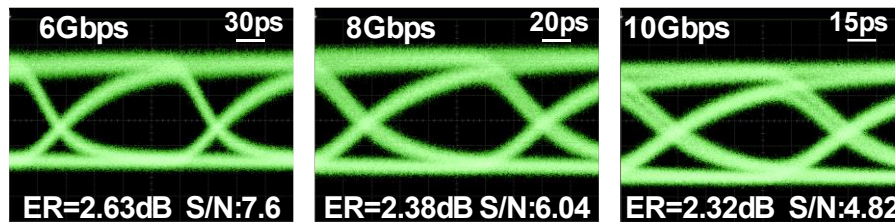
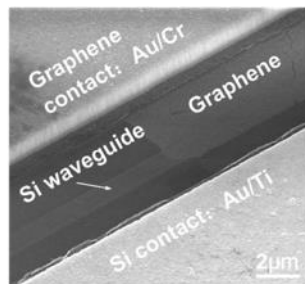
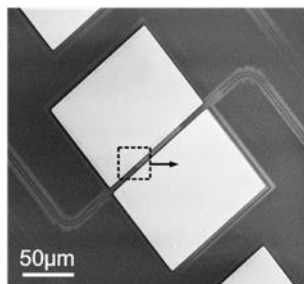
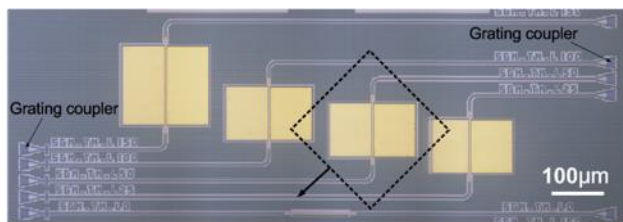
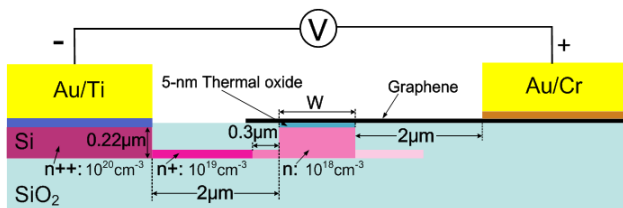


Also:

- Operation from 1300nm to 1600nm
- 1.2GHz 3dB BW

$\sim 0.1 \text{ dB}/\mu\text{m}$

Graphene Based modulators



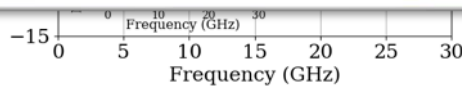
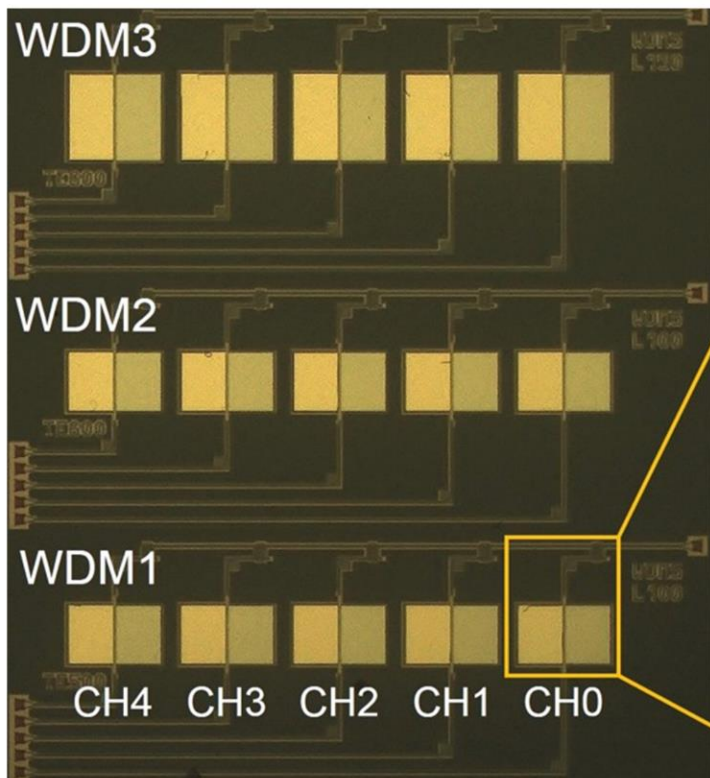
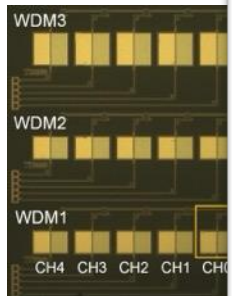
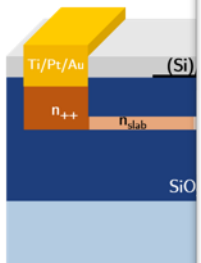
(Eye Diagrams measured at 1560nm. 2.5Vpp and 1.75V bias)

- First demonstration high quality eye diagrams from graphene modulator
- Bit Rates from 6GB/s to 10GB/s
- Dynamic ER > 2.6dB, low jitter
- Signal-to-Noise ratio beyond SNR=7

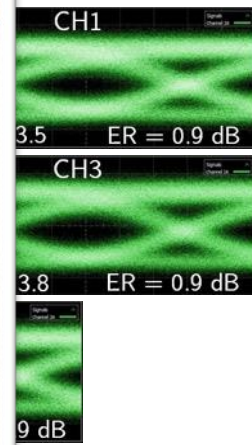
IEDM 2014, Y. Hu et al.

WDM Transmitters with n-doped Si EAMs

CROSS SECTION



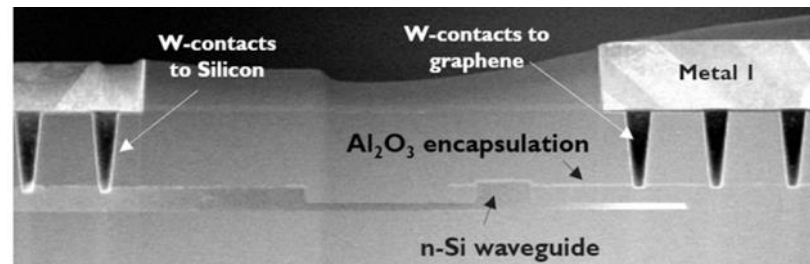
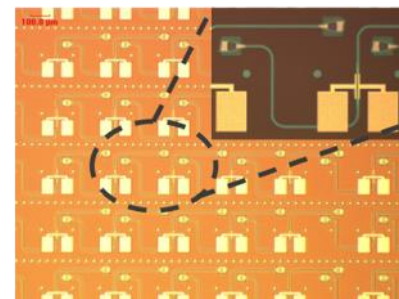
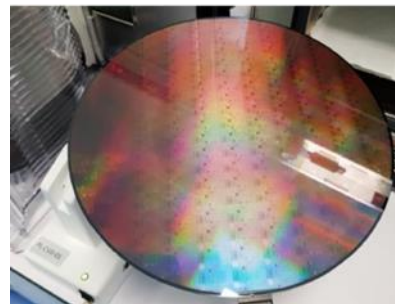
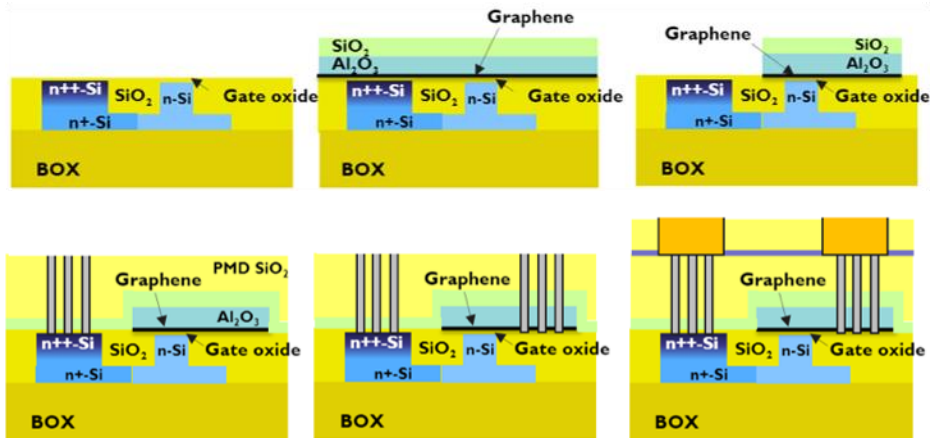
CHARACTERISATION



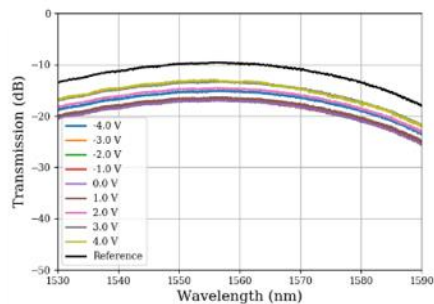
50 Gbit/s

- Three WDM transmitters at 5 x 25 Gbit/s

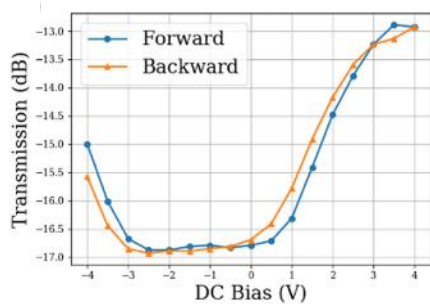
Wafer-scale Graphene EAM



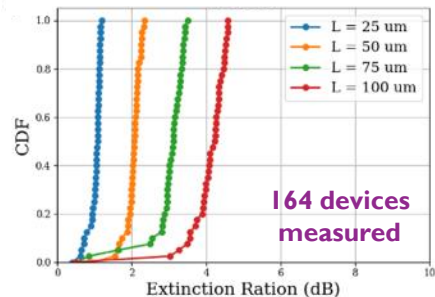
Transmission



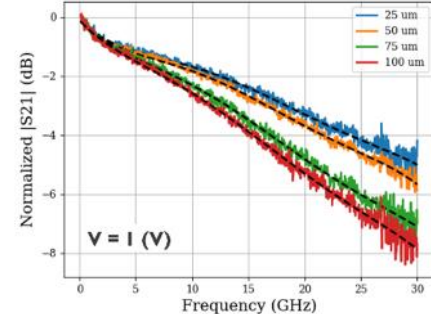
Transmission modulation



Cumulative distribution function



S-parameter



Summary & Conclusion

- Silicon Photonics is booming
 - Widely used in telecom and datacom
 - New application rapidly emerging (biomedical sensing, environmental sensing, spectroscopy, artificial intelligence, quantum computing...)
 - Available from major fabs all over the world
- Remaining challenges:
 - Waferscale integration of lasers, phase modulators ...
 - Need for integration of new materials to enhance functionality

Acknowledgements



PRG Team: Profs. Baets, Roelkens, Kuyken, Bogaerts, Bienstman, Le Thomas, Clemmen, Morthier

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