

Excellence in Imprint Lithography and Wafer-Stacking

Reinhard Völkel CEO SUSS MicroOptics SA



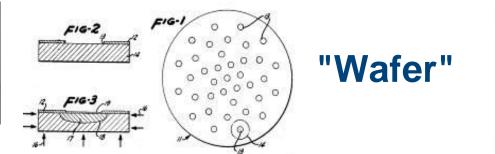
THE PARALLEL PROCESS – WAFER-LEVEL MANUFACTURING

SEMI: Key to Success is Wafer Manufacturing

- **1947**: Invention of the transistor by John Bardeen, William B. Shockley and Walter H. Brattain (1956: Nobel Price)
- **1955**: Shockley Semiconductor Laboratory
- **1957**: The "Traitorous Eight" split from Shockley and start Fairchild Semiconductor: Robert Noyce, Gordon Moore, <u>Jean Hoerni</u>, Eugene Kleiner, Julius Blank, Sheldon Roberts, Jay Last, and Victor Grinich.
- **1959**: Jean Hoerni invents the "parallel process". Wafer manufacturing is the key to success for semiconductor industry!



Jean Amédée Hoerni (1924-1997)







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org/wiki/Semiconductor device fabrication

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Computer Generated Holograms (CGH)



Fig. 7.7. Adolf Lohmann (centre) with Byron Brown and Ronald Kay of IBM, c. 1966 (Lohmann collection)

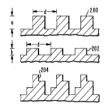


Fig. 7.8. Computer-generated binary hologram and its reconstruction, Lohmann et al., 1967 (Lohmann collection)

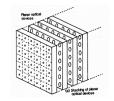


CALCOMP 565 Plotter

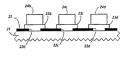
- + 1966 Digital or Planar Optics
- + 1977 Mike Gale: Multi-level Diffractive Optics
- + 1982 Kenichi Iga: Stacked Planar Optics
- + 1985 Zoran Popovich: Melting Resist **Microlens**es

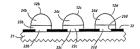


Multi-Level DOE

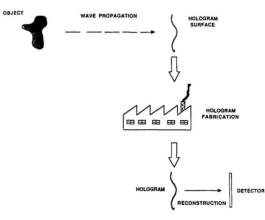


Stacked planar micro-optics





Melting resist microlenses



Lohmann's Hologram-Factory

THE VISION: MICRO-OPTICS – FORMERLY CALLED DIGITAL OPTICS - COULD SAVE THE WORLD!



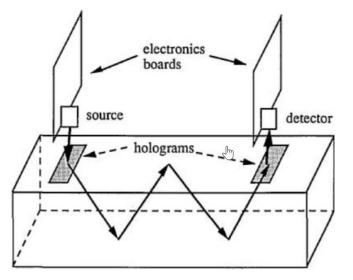
My first lecture from Adolf W. Lohmann (Nov 6th, 1986):

- + "We urgently need the Digital Optical Computer because electronic computing has reached the fundamental limits."
- + 3D holographic memory
- + Planar optics replaces photo lenses
- + Optical backplanes for telecom
- + Microlens Arrays



1992: Mike Hutley in our cleanroom at the Optics Institute in Erlangen, Germany



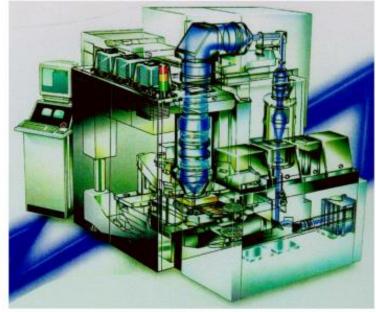


Diffusers for DUV-lithography: a success story





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1994 Feasibility study at IMT (Herzig/Dändliker)
1995 Manufacturing of diffractive optical elements (DOEs) for DUV-Lithography at CSEM
1997 Development of refractive microlens arrays for DUV-Lithography
1999 Free-form beam-shaping (CGH)
2009 MEMS mirror arrays (FlexRay[™])

W. Singer, H. P. Herzig, M. Kuittinen, E. Piper, J. Wangler, "Diffractive beamshaping elements at the fabrication limit," Opt. Engin. 35, 2779-2787 (1996).



MICRO-OPTICS

MODERN MICRO-OPTICS, DENOMINATIONS



Digital optics, planar optics

Gratings Diffractive Optical Elements (DOEs)

Holographic Optical Elements (HOEs)

Microlens arrays

Hybrid optics

Fresnel lenses, zone plates

Computer Generated Holograms (CGHs)

Binary optics

Kinoforms

Sub-wavelength elements (SWL)

Waveguides

Planar optics

Metasurfaces

Micro-Optics

MOEMs

Phase masks

Digital holograms

Courtesy of Bernard Kress



Manufacturing Technologies

- + Resist & Plasma Etching (RIE)
- + Greyscale Lithography
- + Imprint Technology
- + Laser Ablation
- + Injection Molding
- + Ion Diffusion (GRIN)
- + E-Beam & Etching
- + Direct Laser Writing
- + Wet Etching (HF)
- + Holography

+

. . .

- + Diamond Turning
- + Imbossing or Mold Pressing
- + Lapping/Grinding & Polishing

Companies





What are the big differences between Photonics and Semiconductor Industry?

SEMI

- Wafer-based manufacturing using Ø200mm and Ø300mm wafers
- + High degree of standardization and automatization
- Highly parallel manufacturing processes
- Standardized manufacturing equipment (\$65 billion annual spending)
- + Price reduction of 30% per year since 1960 for logic and memory

PHOTONICS

- + Mostly manual processes and one-piece flow manufacturing
- + Fewer standardization and high diversity
- + Wafer-based manufacturing is < 15% of the global Photonics revenue
- + Often low automatization makes up-scaling a challenge





SUSS MICROOPTICS

SUSS MICROOPTICS: SPIN-OFF FROM IMT NEUCHÂTEL IN 1999

Joint venture



Good choice, because

+ Customer

÷

- + Investor
- + Equipment supplier

. . .

- + Business angel
- + 550 km away

Hugle Litho, Microlens Projection Lithography SUSS MicroTec IPO 1999 Semiconductor Industry Support, worldwide network **SUSS** MicroOptics

SUSS MICROOPTICS – WE SET THE STANDARDS

- + World leading supplier of high-quality Micro-Optics
- + More than 200 active customers worldwide
- + We are part of **SUSS MicroTec** group



8" Wafer Cleanroom Fab



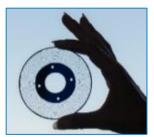
of Micro-Optics FAB Experience

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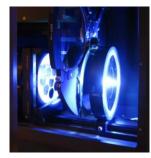


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Microlens Array



Nipkow Disk

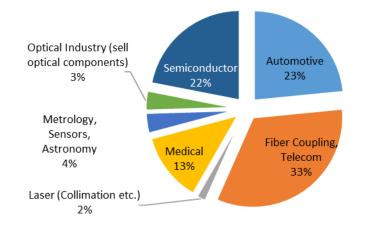


MO Exposure Optics

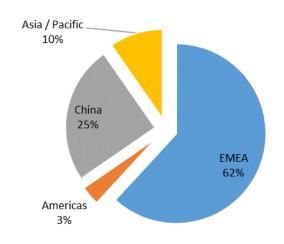
BUSINESS DEVELOPMENT



Revenue by Market 2018







+ Strong growth in Niche Markets

- + 2012: New Cleanroom Fab @Innoparc I
- + 2018: New production line for Automotive Lighting
- + 2019: 2nd Cleanroom Fab @Innoparc IV

of Micro-Optics FAB Experience

16949



REVENUE [CHF]

SUSS MICROTEC AT A GLANCE



- + International High Tech Equipment provider for the SEMIconductor industry
- + Focus on growth segments: Advanced Packaging, MEMS, 2.5D / 3D and Imprint
- + Global customer base with biggest business volume in Asia (>12'000 tools installed)
- + Production at four major sites in Germany, USA, and Switzerland

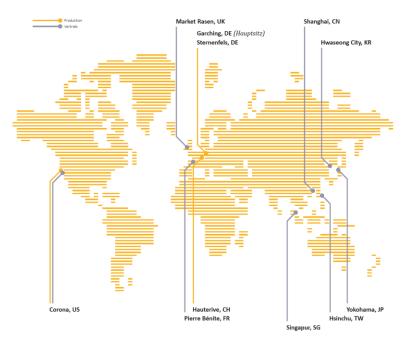


Mask Cleaning



Lithography Wafer Bonder



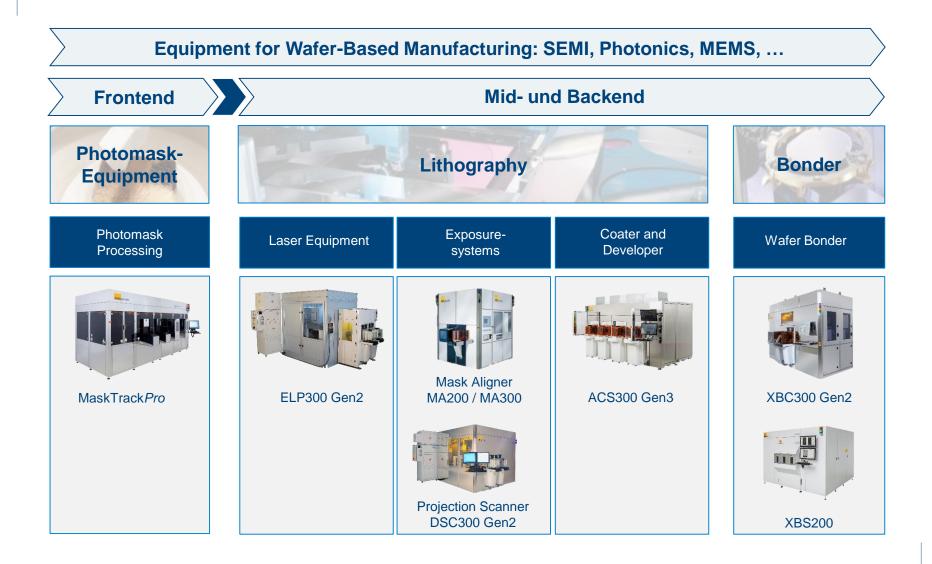


Highlights FY 2018		
+	Order entry	190 Mio€
+	Sales	210 Mio€
+	EBIT	15 Mio€
+	Employees	>900



PRODUCT PORTFOLIO FOR SUSS MICROTEC







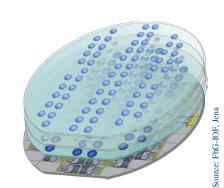
APPLICATIONS AND MARKETS

WAFER-LEVEL OPTICS (WLO)

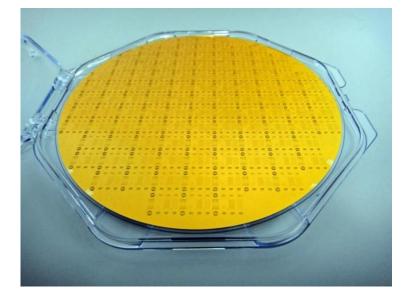


- + Wafer-based microstructuring technology from SEMI and MEMS industry is used for the manufacturing of **Refractive**, **Diffractive** and **Hybrid** Micro-Optics on wafers
- + Wafers: Fused Silica, Silicon and Polymer-on-Glass (Imprint)
- + Commercial tools for Optical Design, Simulation, Metrology and Process Control
- + Wafer-Level Packaging (WLP), Stacking, Sorting





Wafer-Level Packaging

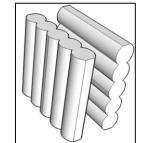


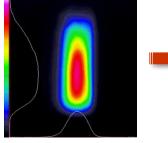
KILLER APPLICATION: (LASER) BEAM SHAPING

SUSS MicroOptics

Microlens Arrays (ROE)



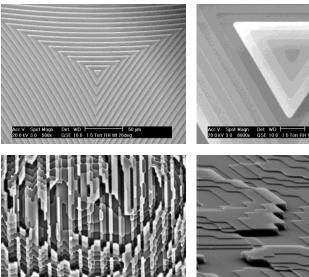


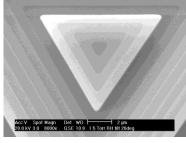


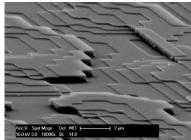
Excimer

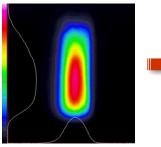
Flat-Top

Diffractive Optical Elements (DOE)

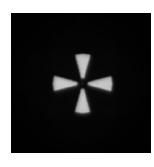


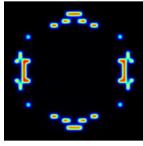






Excimer

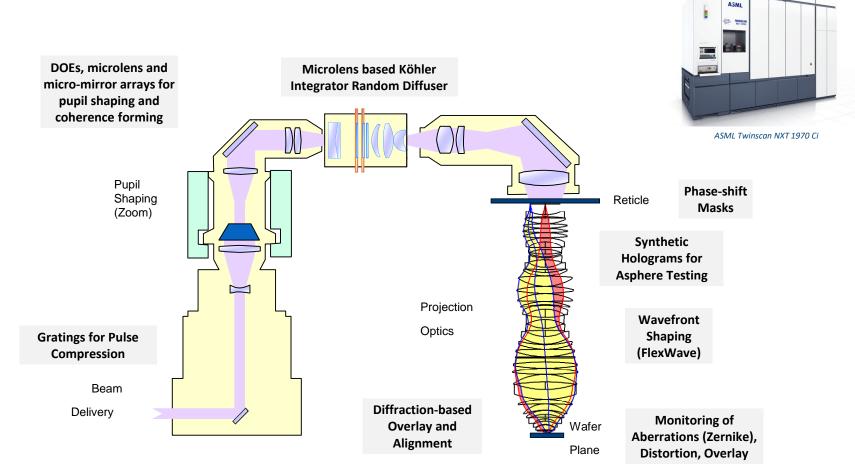




Sources: <u>www.blz.org</u>, Johannes Wangler (CZ SMT)

KILLER APPLICATION: SEMICONDUCTOR INDUSTRY

SUSS MicroOptics



Sources: Robert Brunner (formerly at CZ SMT)

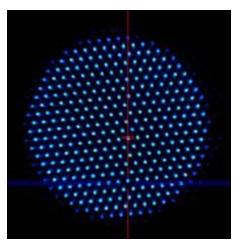
ZEINS "Preferred Supplier" for Carl Zeiss SMT GmbH Laser Beam Shaping for ASML Litho Stepper

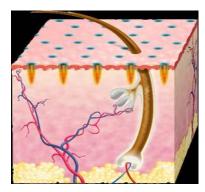
ARRAY GENERATOR FOR MEDICAL APPLICATIONS

SUSS MicroOptics

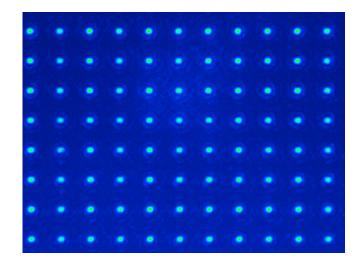
Dermatology

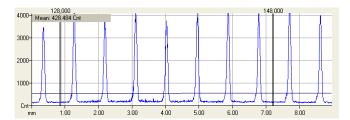
- + Hair Removal
- + Tattoo Removal
- + Pigment Treatment
- + Skin Rejuvenation





Source: www.palomarmedical.com



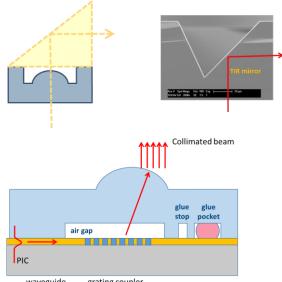


FIBER COLLIMATOR AND REFOCUSSING FOR DATACOM & TELECOM

SUSS_MicroOptics



www.anguerde.com



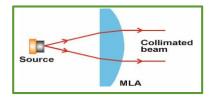
waveguide grating coupler

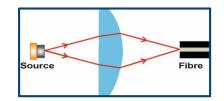
FTTX: Fiber to the X FTTN: Fiber to the Neighborhood FTTC: Fiber to the Cabinet FTTB: Fiber to the Building FTTH: Fiber to the Home



- The closer the fiber comes to the your home/office, the more switches are needed
- Switches are getting smaller, need to be cheaper and higher volume

www.intelfreepress.com/news/revolutionizing-computing-with-lasers/57/



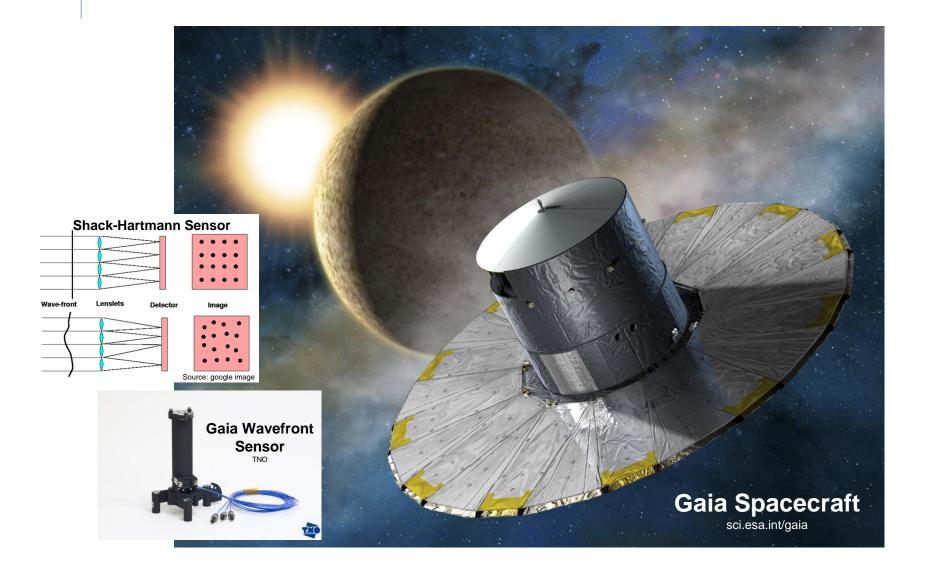






Micro-Optics in Space





SUSS MICROOPTICS – OUR PRODUCTS AND SERVICES



Low Volume High Quality (LVHQ)

- + Core business with strong growth (CAGR >15%) in niche markets
- + Key is a unique feature, high excellence requirements or other unique sales proposition (USP)





MICROLENS IMPRINT LITHOGRAPHY (SMILE)

Wafer-Level Photonics

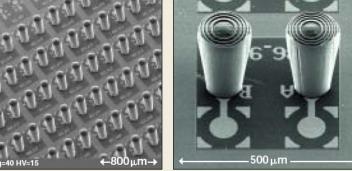
1997 MICROLENS IMPRINT - SUSS MASK ALIGNER

SUSS MicroOptics



+ CSEM Zurich (Mike Gale) former RCA Lab

(a)



(b)

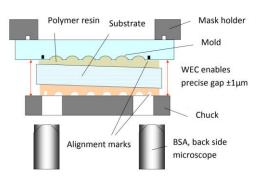


Figure 6. Wafer scale UV embossing of sol-gel components. (a) and (b) Replicated sol-gel microlenses on a VCSEL device wafer. The lenslets couple light from the VCSEL into an optical fiber. [Courtesy of Avalon Photonics, Zurich, Switzerland.] (c) Replicated solgel alignment microstructures for optical components. [Courtesy of Leica Geosystems, Heerbrugg, Switzerland.]

M.T. Gale, "Replication," Chapter 6 in Micro-Optics: Elements, Systems and Applications, H.P. Herzig, Ed., Taylor and Francis, London (1997).

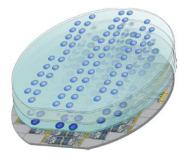
Brite-Euram Project BE97-464 1, DONDODEM, Development of new dielectric and optical materials and process-technologies for low cost electrical and/or optical packaging and testing of precompetitive demonstrators, (1998-2001).

MICROLENS IMPRINT LITHOGRAPHY (SMILE) WAFER-LEVEL CAMERA (WLC) WAFER-LEVEL PACKAGING (WLP)

EU-Project WALORI (2002 - 2005) ÷ Backside (see-through) CMOS Viewer







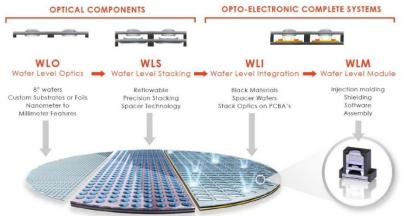


WLO Endoscope Camera NanEye (Awaiba) +



- Heptagon acquired CSEM (RCA) in Zurich in 2002
- Wafer-Level Camera (WLC) hype 10y ago

Heptagon's incredible success story



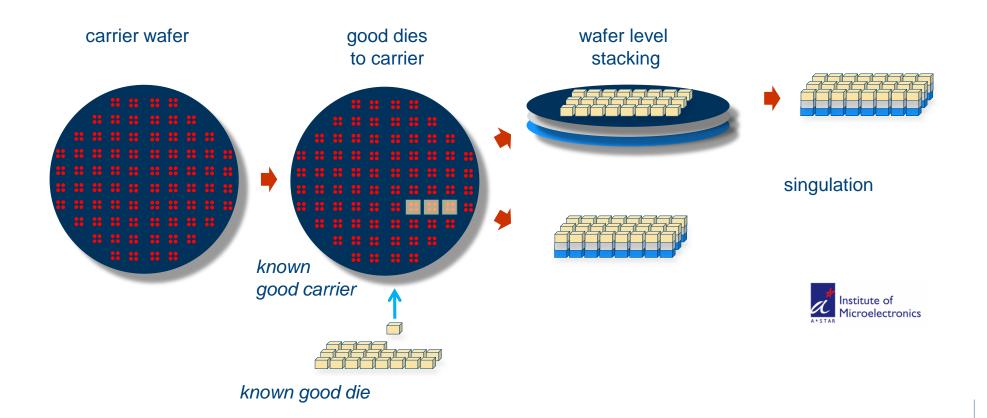
SUSS MicroOptics

ams AG

Reinhard Voelkel, SUSS MicroOptics SA, Switzerland 28



- + Yield [Process #1] x Yield [Process #2] x Yield [Process #3] x ... =
- + Work around solution: Die-to-Wafer, reconstitute wafers
- + Carrier based integration





MICROLENS IMPRINT LITHOGRAPHY (SMILE) WAFER-LEVEL CAMERA (WLC) WAFER-LEVEL PACKAGING (WLP)

EU-Project WALORI (2002 -2005)
 Backside (see-through) CMOS Viewer





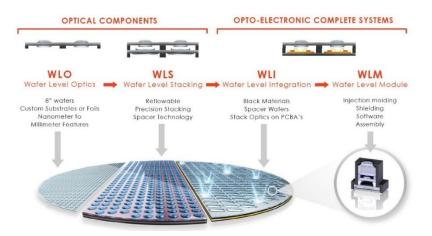




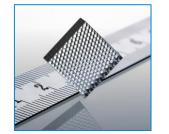
+ WLO Endoscope Camera NanEye (Awaiba)



- + Heptagon acquired CSEM (RCA) in Zurich in 2002
- Wafer-Level Camera (WLC) hype 10y ago



- + Heptagon's incredible success story
- + BMW Welcome Lightcarpets





SUSS MicroOptics

SUSS MICROOPTICS – OUR PRODUCTS AND SERVICES



Low Volume High Quality (LVHQ)

+ Core business with strong growth (CAGR >25%) in niche markets

Mid Volume High Quality (MVHQ)

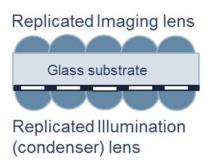
- + Key is a unique feature, high excellence requirements or other unique sales proposition (USP)
- + A close co-operation with SUSS MicroTec ensures top-notch production equipment
- + Excellence Center with mid volume production and 1st class support for high volume customers

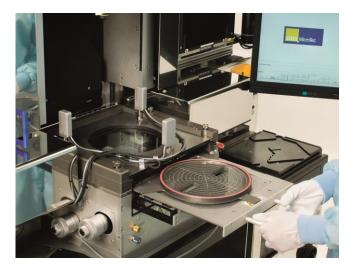


WELCOME LIGHT CARPET FOR CARS

SUSS MicroOptics

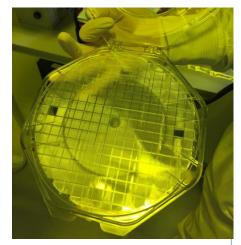










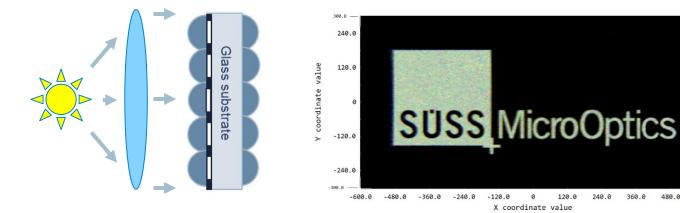


MICROLENS IMPRINT LITHO FOR LIGHT CARPETS

IATF 16949

Automotive Qualified Imprint Production

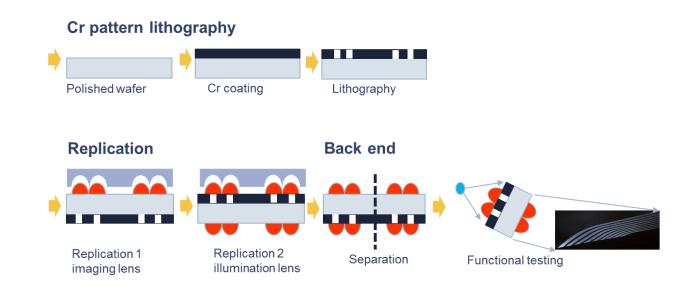


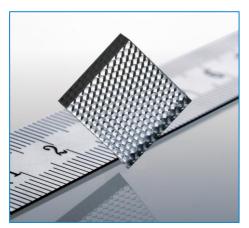


Array Projector (Moiré Magnifier)



120.0





240.0

360.0

480.0

600.0

Microlens Array (MLA) for Light Carpets

THE FUTURE: MICRO-OPTICS FOR AUTOMOTIVE LIGHTING?

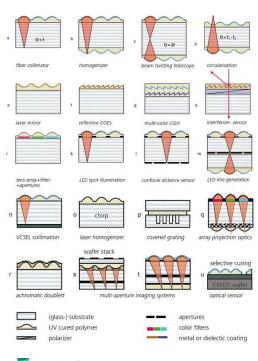
SUSS MicroOptics

- + Light Carpet
 - External
 - Internal
- + Front Lights
 - LED Matrix
 - Laser Light



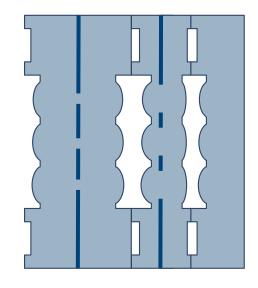












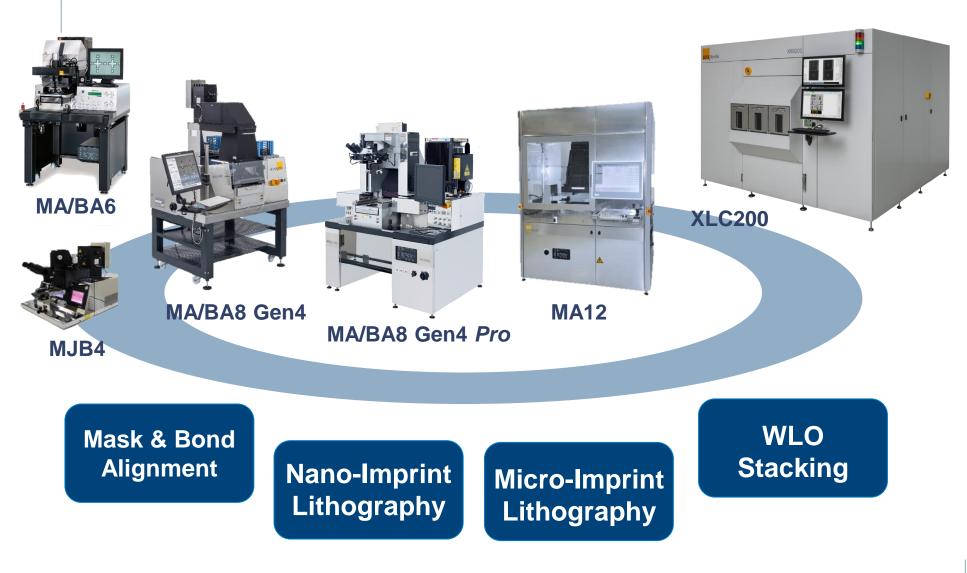
installed customer base

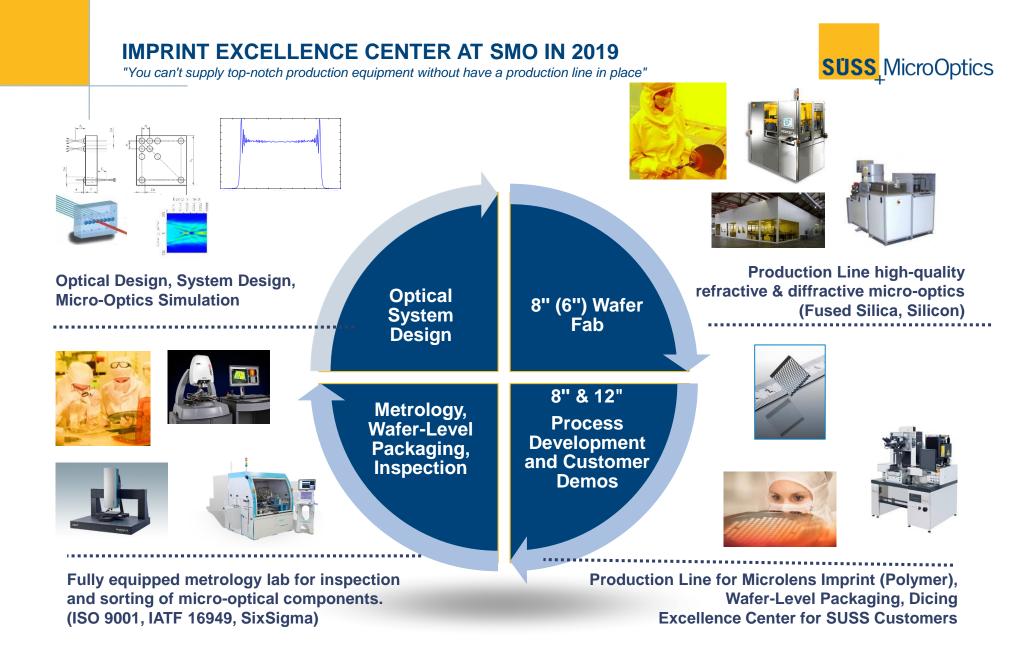


- + Global service infrastructure
- + Complete semi-automatic product portfolio
- + Complete process development infrastructure
- + Decades of imprinting process experience

EVOLUTION OF SUSS IMPRINT EQUIPMENT







SUSS IMPRINT SOLUTION PROVIDER

SUSS MicroTec Lithography

- Imprint Equipment
- Demonstrations
- Application support

Supply chain

- Resist supplier
- Stamp material supplier

SUSS MicroOptics

Master supplier

SUSS MicroOptics

- 6"/8"/12" WLO imprint FAB
- Design & Prototyping
- WLO Metrology
- Automotive qualification

SUSS Imprint Excellence Center

Services for

- Design & Prototyping
- Mastering
- Process Development
- Transfer to HV production

SUSS MicroTec's Complete Imprint Solution: Leading edge equipment technology combined with our imprint production experience to ensure our customer success!

NEW CLEANROOM FAB FOR WAFER-LEVEL OPTICS SUSS IMPRINT EXCELLENCE CENTER







7-8 November 2019

EPIC Meeting on Wafer Level Optics at SUSS MicroOptics

Neuchatel, Switzerland

Registration open





of Micro-Optics FAB Experience

IATE 16949 Automotive Qualified



Thank you -

This presentation was presented at EPIC Meeting on Wafer Level Optics 2019

